

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1898/October 8, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16165

In the Matter of

DAVID SCOTT CACCHIONE

ORDER

The parties have requested in a joint motion that the hearing currently scheduled for October 22, 2014, be converted to a telephonic prehearing conference on October 8, 2014. The joint motion represents that the parties have conferred in compliance with the September 26, 2014, order in this proceeding.

The joint motion is GRANTED IN PART, the hearing is postponed, and the parties are ORDERED to hold an initial prehearing conference without the hearing officer by October 10, 2014, to discuss each numbered item in Rule 221(c), 17 C.F.R. § 221(c), including the date by which each item will be accomplished. By October 17, 2014, the parties shall file a joint prehearing conference statement, which addresses each numbered item in Rule 221(c), and includes proposed due dates where applicable.¹ Based on this prehearing conference statement, a subsequent prehearing conference with the hearing officer shall be scheduled if appropriate.

The parties are also asked to email alj@sec.gov courtesy copies of any filings in this proceeding going forward. Finally, I find that Respondent was served with the Order Instituting Proceedings on September 29, 2014, in compliance with 17 C.F.R. § 201.141(a)(2)(i), based on information provided by the Securities and Exchange Commission's Office of the Secretary.

Jason S. Patil
Administrative Law Judge

¹ The parties may denote that an item is "not applicable" in their filing.