

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1872/October 1, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15943

In the Matter of

DAVID J. MONTANINO

NOTICE OF HEARING LOCATION

The hearing in this administrative proceeding will begin at 9:30 a.m. EST on November 3, 2014, in Courtroom 238 of the Jacob K. Javits Federal Building, 26 Federal Plaza, New York, New York, 10278.

James E. Grimes
Administrative Law Judge