

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1733/August 28, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15820

In the Matter of

DELSA U. THOMAS AND
THE D. CHRISTOPHER CAPITAL
MANAGEMENT GROUP, LLC

ORDER SCHEDULING PREHEARING
CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Proceedings on April 2, 2014, pursuant to Sections 203(e) and 203(f) of the Investment Advisers Act of 1940 against Delsa U. Thomas and The D. Christopher Capital Management Group, LLC (collectively, Respondents).

On July 21, 2014, the Division of Enforcement (Division) filed its motion for summary disposition. On August 14, 2014, Respondents filed their opposition to the Division's motion for summary disposition (Opposition). The Division filed its reply on August 18, 2014 (collectively, the Motions).

A review of the filings by the Division and the Respondents suggests that additional evidence may be needed to resolve the Motions, because the underlying civil case was resolved by default. *See Don Warner Reinhard*, Securities Exchange Act of 1934 Release No. 61506, 2010 SEC LEXIS 1010, at *13-15 (Feb. 4, 2010). For example, Respondents' Opposition does not explicitly address any of the factors described in *Steadman v. SEC*, 603 F.2d 1126, 1140 (5th Cir. 1979). I am considering giving the parties an opportunity to supplement the record in this case with additional facts and argument.

Accordingly, it is ORDERED that a prehearing conference be held in this matter at 11:00 a.m. EDT on September 3, 2014. If this time presents a conflict, the parties should consult with each other and notify this Office of a mutually agreeable time.

Cameron Elliot
Administrative Law Judge