

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1645/July 22, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15943

In the Matter of

DAVID J. MONTANINO

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING
CONFERENCE

On June 24, 2014, the Securities and Exchange Commission instituted this proceeding against David J. Montanino, with an Order Instituting Administrative and Cease-and-Desist Proceedings pursuant to Section 8A of the Securities Act of 1933, Section 21C of the Securities Exchange Act of 1934, Sections 203(f) and (k) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940. The hearing is scheduled to commence on July 30, 2014.

On July 21, 2014, Mr. Montanino filed a Motion to Postpone Hearing, requesting that the hearing be postponed to allow him time to review and collect documents and prepare his defense.

It is ORDERED that the hearing scheduled to begin on July 30, 2014, is POSTPONED sine die and a telephonic prehearing conference shall be held on August 5, 2014, at 2:00 p.m. EDT.

James E. Grimes
Administrative Law Judge