

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1577/July 1, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15918

In the Matter of

DENNIS J. MALOUF

ORDER EXTENDING TIME TO FILE
ANSWER

The Securities and Exchange Commission (Commission) commenced this proceeding on June 9, 2014, with an Order Instituting Administrative and Cease-and-Desist Proceedings (OIP) pursuant to Section 8A of the Securities Act of 1933; Sections 15(b), 15C(c), and 21C of the Securities Exchange Act of 1934; Sections 203(f) and 203(k) of the Investment Advisers Act of 1940; and Section 9(b) of the Investment Company Act of 1940. A telephonic prehearing conference is scheduled for July 21, 2014. See Dennis J. Malouf, Admin. Proc. Rulings Release No. 1553, 2014 SEC LEXIS 2205 (June 24, 2014).

On June 30, 2014, Respondent's counsel filed a Notice of Appearance and Agreed Motion to Extend Time to File Answer. Respondent states that the Division of Enforcement (Division) does not object to extending, pursuant to Commission Rule of Practice (Rule) 161, the time to file his answer from July 2, 2014, to July 11, 2014. See 17 C.F.R. § 201.161.

The Office of the Secretary has provided confirmation that the OIP was delivered by U.S. Postal Service Certified mail on June 12, 2014. Based on the certified mail receipt, I find that Respondent was served with the OIP on June 12, 2014, in accordance with Rule 141(a)(2)(i). 17 C.F.R. § 201.141(a)(2)(i). Because the parties have agreed to extend Respondent's time to file an answer, I will grant the extension.

For unknown reasons, the present motion was not received by this Office until six days after it was sent. The parties may send courtesy copies of any filings directly to this Office at the following email address: alj@sec.gov.

Accordingly, it is ORDERED that Respondent shall file his answer by July 11, 2014.

Cameron Elliot
Administrative Law Judge