

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1553/June 24, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15918

In the Matter of  
  
DENNIS J. MALOUF

ORDER POSTPONING HEARING AND  
SCHEDULING PREHEARING  
CONFERENCE

The Securities and Exchange Commission (Commission) commenced this proceeding on June 9, 2014, with an Order Instituting Administrative and Cease-and-Desist Proceedings (OIP) pursuant to Section 8A of the Securities Act of 1933; Sections 15(b), 15C(c), and 21C of the Securities Exchange Act of 1934; Sections 203(f) and 203(k) of the Investment Advisers Act of 1940; and Section 9(b) of the Investment Company Act of 1940. A hearing is scheduled to commence on July 7, 2014.

On June 23, 2014, this Office received a Notice of Appearance and Agreed Motion to Convert Hearing to Prehearing Conference (Motion) from the Division of Enforcement (Division). The Division states that both the Division and counsel for Respondent would like to convert the hearing to a prehearing conference and reschedule the prehearing conference to a date outside of July 5-16, 2014. In support of the Motion, the Division states that: the parties agree that a prehearing conference will expedite the disposition of the proceedings and improve the quality of the hearing; Respondent consents, and authorizes the Division to so represent, to a hearing date later than sixty days after service of the OIP; lead counsel for either the Division or Respondent will be out of the country from July 5-16, 2014; and the parties propose that a telephonic prehearing conference be conducted on July 21, 2014.

Accordingly, it is ORDERED that the hearing scheduled for July 7, 2014, be POSTPONED sine die, and a telephonic prehearing conference shall be held on July 21, 2014, at 1:00 p.m. EDT.

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Cameron Elliot  
Administrative Law Judge