

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1549/June 23, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15746

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In the Matter of

ROY DIXON, JR.

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POSTPONEMENT ORDER

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The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on February 11, 2014. The proceeding is a follow-on proceeding based on SEC v. Onyx Capital Advisors, LLC, No. 2:10-cv-11633 (E.D. Mich. Jan. 31, 2014), in which Roy Dixon, Jr. (Dixon), was enjoined against violations of the antifraud provisions of the federal securities laws. A prehearing conference was scheduled for June 27, 2014. Roy Dixon, Jr., Admin. Proc. Rulings Release No. 1418, 2014 SEC LEXIS 1557 (A.L.J. May 6, 2014). However, Dixon has not yet been served with the OIP in accordance with 17 C.F.R. § 201.141(a)(2)(i),<sup>1</sup> despite several attempts. To allow time for service of the OIP and Dixon's Answer,<sup>2</sup> consistent with 17 C.F.R. § 201.161, the prehearing conference will be postponed and held by telephone on September 26, 2014, at 11:00 a.m. EDT if the proceeding has not been resolved by then.

IT IS SO ORDERED.

/s/ Carol Fox Foelak

Carol Fox Foelak

Administrative Law Judge

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<sup>1</sup> That rule states:

Notice of a proceeding shall be made to an individual by delivering a copy of the [OIP] to the individual or to an agent authorized by appointment or by law to receive such notice. *Delivery* means -- handing a copy of the [OIP] to the individual; or leaving a copy at the individual's office with a . . . person in charge thereof; or leaving a copy at the individual's dwelling house or usual place of abode with some person of suitable age and discretion then residing therein; or sending a copy of the [OIP] addressed to the individual by U.S. Postal Service certified, registered or Express Mail and obtaining a confirmation of receipt. 17 C.F.R. § 201.141(a)(2)(i).

“[A]lternative methods of service to persons located in the United States, such as service by publication, are not permitted.” Rules of Practice, 60 Fed. Reg. 32738, 32750 (June 23, 1995).

<sup>2</sup> Dixon's Answer is due within twenty days of service on him; if he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. See OIP at 3; 17 C.F.R. §§ 201.155(a), .220(f).