

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1491/June 5, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15737

In the Matter of

THOMAS C. GONNELLA

ORDER CANCELING
PREHEARING CONFERENCE

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Administrative and Cease-and-Desist Proceedings, pursuant to Section 8A of the Securities Act of 1933, Sections 15(b) and 21C of the Securities Exchange Act of 1934, Section 203(f) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940, on February 4, 2014. A hearing will begin on July 7, 2014, at 9:30 a.m. EDT in Courtroom 238, Jacob K. Javits Federal Building, 26 Federal Plaza, New York, NY 10278.

There are no pending matters that require the prehearing conference scheduled for June 23, 2014, so that prehearing conference is CANCELED.

Brenda P. Murray
Chief Administrative Law Judge