

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1472/May 29, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15382

In the Matter of

STEVEN A. COHEN

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:
: ORDER CONTINUING STAY
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On May 28, 2014, the United States Attorney for the Southern District of New York (U.S. Attorney) requested in an electronic communication that I continue the stay of this proceeding granted initially on August 8, 2013, and continued on March 4, 2014. Steven A. Cohen, Admin. Proc. Rulings Release No. 1277, 2014 SEC LEXIS 736; Admin. Proc. Rulings Release No. 785, 2013 SEC LEXIS 2303.

The U.S. Attorney represents that only one of the three matters that are the basis for the stay has been fully resolved. The two other matters – United States v. Martoma, No. 12 Cr. 973 (S.D.N.Y.) and United States v. Steinberg, No. 12 Cr. 121 (S.D.N.Y.) – remain ongoing. A sentencing hearing is scheduled in Martoma for June 10, 2014, and Steinberg has expressed his intention to appeal his conviction. See generally United States v. Newman, Docket Nos. 13-1837(L), 13-1917 (2d Cir.).

Ruling

Based on the information provided, I GRANT the request and CONTINUE the stay, pursuant to 17 C.F.R. § 201.210(c)(3), because the status of two of the three matters that are the basis of the stay is unchanged. The U.S. Attorney shall provide this Office with written notice as to whether a stay remains warranted on or before August 26, 2014, unless a defining event occurs earlier.

Brenda P. Murray
Chief Administrative Law Judge