

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1456/May 23, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15350

In the Matter of the Application of

SECURITIES INDUSTRY AND
FINANCIAL MARKETS ASSOCIATION

for Review of Actions Taken by
Self-Regulatory Organizations

ORDER DESIGNATING
PRESIDING JUDGE AND
SCHEDULING PREHEARING
CONFERENCE

The Securities and Exchange Commission (Commission) has issued an Order Establishing Procedures and Referring Applications for Review to Administrative Law Judge for Additional Proceedings (Order).

IT IS ORDERED that Chief Administrative Law Judge Brenda P. Murray shall preside over this consolidated proceeding for additional record development and proceedings consistent with the Commission Order.

IT IS FURTHER ORDERED that a telephonic prehearing conference be held at 9:30 a.m., Monday, June 23, 2014.

Service of all orders and rulings on parties is made by the Office of the Secretary, or other duly authorized Commission officer, pursuant to Commission Rule of Practice 141. See 17 C.F.R. § 201.141. All issuances by Administrative Law Judges and other documents of importance are posted on the Commission's web site at <http://www.sec.gov/litigation/apdocuments/ap-open-fileno-asc.xml>.

For the Commission, by its Chief Administrative Law Judge, pursuant to delegated authority.

Brenda P. Murray
Chief Administrative Law Judge

