UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS Release No. 1361/April 7, 2014

ADMINISTRATIVE PROCEEDING File No. 3-15514

In the Matter of

DONALD J. ANTHONY, JR., FRANK H. CHIAPPONE, RICHARD D. FELDMANN, WILLIAM P. GAMELLO, ANDREW G. GUZZETTI, WILLIAM F. LEX, THOMAS E. LIVINGSTON, BRIAN T. MAYER, PHILIP S. RABINOVICH, AND RYAN C. ROGERS

ORDER EXTENDING BRIEFING DATES

The Securities and Exchange Commission initiated this proceeding on September 23, 2013. The public hearing concluded on February 24, 2014. The Division of Enforcement's (Division) initial post-hearing brief was scheduled to be due on April 7, 2014, Respondents' opposing brief on May 5, 2014, and the Division's final brief on June 9, 2014.

On April 4, 2014, the Division informed my Office that the Respondents had consented to a slight modification in the briefing schedule. The new due dates are: Division's initial post-hearing brief on April 9, 2014, Respondents' brief on May 7, 2014, and the Division's final brief on June 9, 2014.

I ORDER that the parties follow this new briefing schedule.

Brenda P. Murray Chief Administrative Law Judge