

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 1253/February 20, 2014

ADMINISTRATIVE PROCEEDING

File No. 3-15628

In the Matter of

DANIEL IMPERATO

CLARIFICATION ORDER

The Securities and Exchange Commission (Commission) instituted this proceeding against Daniel Imperato (Imperato) on November 27, 2013. By Order issued January 10, 2014, Imperato was notified that unless otherwise directed, he shall not call this Office, the Office of the Secretary, or any other office or division of the Commission regarding this proceeding, but rather should file a written motion in accordance with the Commission's Rules of Practice if he is unclear about the proper procedure or seeks clarification of an order. Daniel Imperato, Admin. Proc. Rulings Release No. 1161, 2014 SEC LEXIS 94.

The January 10, 2014, Order pertains only to Imperato's communications regarding this proceeding that: assert his position about the merits; are responsive to a motion or an order; or seek some form of relief, guidance about the proper procedure, or clarification of an order. Unless otherwise directed, such communications must be in writing and filed in hardcopy with the Office of the Secretary, as explained in the January 10, 2014, Order.

It is clarified that the January 10, 2014, Order does not prohibit Imperato from contacting the Office of Inspector General or the Office of the Whistleblower. Imperato may also respond to telephone inquiries initiated by offices or divisions of the Commission, including inquiries regarding collection matters.

SO ORDERED.

Cameron Elliot
Administrative Law Judge