

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1230/February 10, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15574

In the Matter of

HARDING ADVISORY LLC AND
WING F. CHAU

ORDER SETTING BRIEFING
SCHEDULE FOR MOTION TO
QUASH SUBPOENAS

The Securities and Exchange Commission (Commission) instituted this Administrative and Cease-and-Desist Proceeding on October 18, 2013, pursuant to Section 8A of the Securities Act of 1933, Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940, against Harding Advisory LLC and Wing F. Chau (collectively, Respondents). The hearing is scheduled to commence on March 31, 2014, in Washington, D.C.

On February 6, 2014, this Office received a request from Respondents for a subpoena duces tecum directed to the Commission (Subpoena Request), requesting the production of documents and other materials by February 14, 2014. The Division of Enforcement has informed this Office that it intends to file a motion to quash the Subpoena Request no later than February 14, 2014.

Accordingly, it is ORDERED that any motions to quash or modify the Subpoena Request shall be due by February 14, 2014, and any response in opposition shall be due by February 19, 2014. No reply briefs are permitted. See 17 C.F.R. § 201.232(e).

Cameron Elliot
Administrative Law Judge