

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1185/January 22, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15443

In the Matter of	:	ORDER POSTPONING PREHEARING
	:	CONFERENCE
ALAN SMITH	:	

A telephonic prehearing conference was scheduled in this proceeding for January 21, 2014, a day on which the federal government was closed due to weather conditions. In a phone conversation with my Office, the Division of Enforcement (Division) agreed to communicate with Alan Smith (Smith) to establish a mutually convenient time to re-schedule the prehearing conference. In an e-mail received today, the Division informed me that it has “arranged a call with Mr. Smith/Draudins tomorrow afternoon at 2 pm EST.”

Ruling

The telephonic prehearing conference scheduled for January 21, 2014, is POSTPONED to January 23, 2014, at 2:00 p.m. EST. I will find the Respondent in default if he does not appear at the prehearing conference on January 23, 2014, at 2:00 p.m. EST and defend the proceeding. 17 C.F.R. §§ 201.155(a), .221(f).

Brenda P. Murray
Chief Administrative Law Judge