

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1151/January 8, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15556

In the Matter of

TIMOTHY J. GEIDEL

ORDER TAKING OFFICIAL NOTICE OF A
MATERIAL FACT PURSUANT TO 17
C.F.R. § 201.323

On October 9, 2013, the Securities and Exchange Commission (Commission) issued an Order Instituting Administrative Proceedings against Respondent Timothy J. Geidel (Geidel), pursuant to Section 15(b) of the Securities Exchange Act of 1934 (Exchange Act) and Section 203(f) of the Investment Advisers Act of 1940. At a November 4, 2013, prehearing conference, I granted the parties leave to file motions for summary disposition and established a briefing schedule for motions for summary disposition, oppositions, and replies. See Timothy J. Geidel, Admin. Proc. Rulings Release No. 1019, 2013 SEC LEXIS 3453 (Nov. 4, 2013). The Division of Enforcement has filed its Motion for Summary Disposition and a letter in lieu of a formal Reply. Geidel, however, has not filed his Opposition, which was due December 20, 2013.

Pursuant to Rule 323 of the Commission's Rules of Practice, 17 C.F.R. § 201.323, I take official notice of the following fact: Royal Alliance Associates, Inc. (Royal Alliance), is registered with the Commission as an investment adviser and broker-dealer. This fact is established by the Commission's records and the BrokerCheck database of the Financial Industry Regulatory Authority, Inc. (FINRA). See Royal Alliance (CRD No. 23131) Form ADV, Items 1-2, 6 (Dec. 17, 2013), available at <http://www.adviserinfo.sec.gov>; Royal Alliance (CRD No. 23131) BrokerCheck Report at 14 (Jan. 7, 2014), available at <http://brokercheck.finra.org>; see also Joseph S. Amundsen, Exchange Act Release No. 69406, 2013 SEC LEXIS 1148, at *2 n.1 (Apr. 18, 2013) (taking official notice of information from FINRA's BrokerCheck database).

SO ORDERED.

Cameron Elliot
Administrative Law Judge