

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 970/October 18, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15513

In the Matter of

JAMES R. LANIER

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POSTPONEMENT ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on September 23, 2013, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940, and the hearing was scheduled to commence on October 24, 2013. The proceeding is a follow-on proceeding based on United States v. Lanier, No. 4:12-cr-51 (N.D. Fla. Mar. 8, 2013), in which Respondent James R. Lanier (Lanier) was convicted of wire fraud, mail fraud, money laundering, and aggravated identify theft. The Division of Enforcement has filed a Motion to Postpone Hearing and Schedule Prehearing Conference.

Lanier was served with the OIP on September 27, 2013, in accordance with 17 C.F.R. § 201.141(a)(2)(i). To allow time for Lanier's Answer,¹ consistent with 17 C.F.R. § 201.161, the hearing will be postponed sine die, and a prehearing conference will be held by telephone on November 8, 2013, at 10:00 a.m. EST, if the proceeding has not been resolved by then.

IT IS SO ORDERED.

/S/ Carol Fox Foelak

Carol Fox Foelak

Administrative Law Judge

¹ Lanier's Answer is due within twenty days of service on him. See OIP at 2; 17 C.F.R. § 201.220(b). If he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. See OIP at 2; 17 C.F.R. §§ 201.155(a), .220(f).