

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 829/September 3, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15430

In the Matter of

BRIAN WILLIAMSON

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING
CONFERENCE

On August 20, 2013, the Securities and Exchange Commission issued an Order Instituting Administrative and Cease-and-Desist Proceedings alleging that Brian Williamson (Williamson) willfully violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Exchange Act Rule 10b-5, Section 206(4) of the Investment Advisers Act of 1940 (Advisers Act) and Advisers Act Rule 206(4)-8, and in the alternative, as a result of and through Williamson's conduct, Oppenheimer Asset Management Inc. (OAM) and Oppenheimer Alternative Investment Management, LLC (OAIM) violated Section 10(b) of the Exchange Act and Exchange Act Rule 10b-5(b) and Section 206(4) of the Advisers Act and Advisers Act Rule 206(4)-8, and that Williamson willfully aided and abetted and caused OAM's and OAIM's violations of these provisions. Williamson is required to answer within twenty days after service of the OIP. OIP at 14; 17 C.F.R. § 201.220.

Attorneys for Williamson and the Division of Enforcement (Division) filed their appearances on August 29, 2013. A hearing in this matter is scheduled to begin on September 23, 2013. The Division, speaking on behalf of the parties, informed my Office on August 30, 2013, that the parties would like to postpone the hearing and hold a telephonic prehearing conference on that date.

Order

I GRANT the parties' request, POSTPONE the hearing, and ORDER a telephonic conference on September 23, 2013, at 10:00 a.m. EDT.

Brenda P. Murray
Chief Administrative Law Judge