

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1105/December 16, 2013

ADMINISTRATIVE PROCEEDING  
File No. 3-15560

In the Matter of  
  
SHAWN H. MOORE

ORDER SETTING ASIDE DEFAULT AND  
STAYING PROCEEDING

On November 19, 2013, Shawn H. Moore (Moore) was barred, by default, from the securities industry. Shawn H. Moore, Initial Decision Release No. 527, 2013 SEC Lexis 3630 (A.L.J. Nov. 19, 2013). Moore moved to set aside the default, pursuant to 17 C.F.R. § 201.155(b), and the undersigned ruled that the default would be set aside if Moore filed an Answer to the Order Instituting Proceedings by December 12, 2013. Shawn H. Moore, Admin. Proc. Rulings Release No. 1083, 2013 SEC Lexis 3810 (A.L.J. Dec. 4, 2013).

On December 12, 2013, the Division of Enforcement (Division) and Moore filed a Joint Motion for Stay Pending Commission Consideration of Offer of Settlement, pursuant to 17 C.F.R. § 201.161(c)(2), stating that they have reached an agreement in principle to a settlement that would resolve this proceeding. The parties represent that Moore will execute an Offer of Settlement as soon as he has access to a notary.

In light of the above, the proceeding will be reopened and stayed, contingent upon compliance with 17 C.F.R. § 201.161(c)(2). The Division will submit a report concerning the status of the settlement by February 14, 2014, if the proceeding has not been resolved by then.

IT IS SO ORDERED.

/S/ Carol Fox Foelak  
Carol Fox Foelak  
Administrative Law Judge