

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1013/October 31, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15580

In the Matter of :
: ORDER FOLLOWING
ANTHONY CHIASSON : PREHEARING CONFERENCE
:

The Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) on October 21, 2013, in this proceeding, pursuant to Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held today, attended by Daniel Marcus, Matthew Watkins, and Valerie Szczepanik for the Division of Enforcement (Division) and Gregory Morvillo for Respondent Anthony Chiasson. Service of the OIP was deemed to have occurred on October 23, 2013. I waived the requirement for Respondent to file an Answer, provided he file an opposition to the Division's motion for summary disposition. The parties agreed to the following briefing schedule for motions for summary disposition:

November 22, 2013: Motions for summary disposition are due;
December 13, 2013: Oppositions are due; and
December 20, 2013: Replies, if any, are due.

SO ORDERED.

Cameron Elliot
Administrative Law Judge