

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1009/October 30, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15581

In the Matter of

TODD NEWMAN

ORDER SCHEDULING PRE-
HEARING CONFERENCE

The Securities and Exchange Commission (Commission) issued an Order Instituting Proceedings (OIP) October 21, 2013, alleging that Todd Newman (Newman) on: (1) October 4, 2013, was enjoined from future violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act), and Exchange Act Rule 10b-5 in SEC v. Adondakis, No. 12-cv-0409 (HB) (S.D.N.Y.); and (2) on December 17, 2012, was convicted of one count of conspiracy to commit securities fraud and four counts of securities fraud in violation of Section 10(b) of the Exchange Act and Rule 10b-5 in United States v. Newman, No. S2:12-cr-121 (RJS) (S.D.N.Y.). On May 9, 2013, Newman was sentenced to fifty-four months in prison, followed by one year of supervised release, and ordered to pay a \$1 million fine and \$737,724 in criminal forfeiture.

The Commission's files do not show that Newman has been served with the OIP. However, service must have occurred because the Division of Enforcement, after conferring with Newman's counsel, has e-mailed my Office a joint request for a prehearing conference on October 31 or November 1, 2013.

Order

I ORDER a telephonic prehearing conference at 10:30 a.m. EDT on Friday, November 1, 2013.

Brenda P. Murray
Chief Administrative Law Judge