

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1000/October 28, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15445

In the Matter of	:	
	:	ORDER FOLLOWING
HAUSMANN-ALAIN BANET	:	PREHEARING CONFERENCE
	:	

The Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) on August 28, 2013, pursuant to Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held today and attended by John S. Yun for the Division of Enforcement and Respondent Hausmann-Alain Banet (Banet), who appeared pro se. Service of the OIP was deemed to have occurred on September 3, 2013. Banet had not yet filed an Answer to the OIP, and it was agreed that Banet's Answer will be due on November 22, 2013. See 17 C.F.R. §§ 201.161, .220(b).

The parties agreed to the following briefing schedule for motions for summary disposition:

November 22, 2013: Motions for summary disposition are due;

December 20, 2013: Oppositions are due; and

January 10, 2014: Replies, if any, are due.

SO ORDERED.

Cameron Elliot
Administrative Law Judge