

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 80950 / June 15, 2017

Admin. Proc. File No. 3-17393

In the Matter of

CURTIS A. PETERSON

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Curtis A. Peterson and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,<sup>1</sup> that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Curtis A. Peterson.<sup>2</sup> The order contained in that decision is hereby declared effective. The initial decision ordered that, pursuant to Sections 21B(e) and 21C(e) of the Securities Exchange Act of 1934, Curtis A. Peterson shall pay disgorgement in the amount of \$569,250, plus prejudgment interest.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary

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<sup>1</sup> 17 C.F.R. § 201.360(d).

<sup>2</sup> *Curtis A. Peterson*, Initial Decision Release No. 1124 (Apr. 19, 2017), 116 SEC Docket 11, 2017 WL 1397544.