

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 71121 / December 18, 2013

Admin. Proc. File No. 3-14999

In the Matter of

ANGELICA AGUILERA

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Angelica Aguilera and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Angelica Aguilera.² The orders contained in that decision are hereby declared effective. The initial decision ordered that Angelica Aguilera is barred from association with a broker or dealer in a supervisory capacity; and is barred from association with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Elizabeth M. Murphy
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Angelica Aguilera*, Initial Decision Release No. 501 (July 31, 2013), 106 SEC Docket 17.