

NexTrend Securities, Inc.
Customer Relationship Summary (Form CRS) November 7, 2024

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| Introduction | <p>NexTrend Securities, Inc. (“NexTrend” or “the Firm”) has been registered with the Securities and Exchange Commission (SEC) as a broker/dealer and has been a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC) since 1997.</p> <p>It is important to note that broker/dealer services and fees differ from investment advisory services and fees and you should understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker/dealers, investment advisers, and investing. The Firm does not directly accept customer funds or hold securities.</p> |
| What investment services and advice can you provide me? | <p>NexTrend Securities provides brokerage services to accredited retail and institutional investors. The Firm concentrates on 1031 Delaware Statutory Trust (DST) and real estate Regulation D (Reg D) private placements.</p> <p>The Firm does have account minimums. Based on the products the Firm offers the sponsors set the minimum investment in their offerings at \$25,000 - \$100,000.</p> <p>The Firm does not offer discretionary accounts. You may select investments, or we may recommend investments for your account, but the ultimate investment decision as to your investment strategy and the purchase or sale of investments is up to you.</p> <p>The Firm offers a limited selection of investments (1031 DST and other real estate Reg D private placement offerings). Other firms may offer a wider range of products including stocks, bonds, mutual funds and other investments some of which might have lower costs.</p> <p>Other firms may offer additional services to assist you in developing and executing your investment strategy and monitoring the performance of your account, but you may pay additional fees for these services.</p> <p>Statements, distributions and other information for 1031 DST real estate and Reg D private placement investments is provided to you directly from the real estate sponsor.</p> <p>For additional information, please see Investor.gov/CRS and Regulation Best Interest</p> <p>Conversation Starter, ask your financial professional:</p> <ul style="list-style-type: none"> • Given my financial situation, should I choose a brokerage service? • Why or why not? • How will you choose investments to recommend to me? • What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean? |
| What fees will I pay? | <p>If you open a brokerage account with our Firm and execute transactions you will pay a transaction-based fee referred to as a commission. Based on our line of business, transactions executed with our Firm have an upfront commission. NexTrend does not charge a commission on the sale of a 1031 DST investment or other Reg D private placements. However, the sponsor does charge additional fees i.e., a disposition fee and management fees. An Investor must read the private placement memorandum (PPM) for a list of all fees and expenses associated with 1031 DST and Reg D private placements.</p> <p>NexTrend does Not charge custodian fees, account maintenance fees, platform fees or account inactivity fees. To alleviate these fees customer accounts are closed at the Firm after the initial purchase and customer securities are held with the real estate sponsor.</p> |

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| <p>What fees will I pay? (continued).</p> | <p>You will pay fees whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p> <p>For additional information, please see Investor.gov/CRS</p> <p>Conversation Starter, ask your financial professional:</p> <ul style="list-style-type: none"> • Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested? |
| <p>What are your legal obligations to me when providing recommendations? How else does your firm make money, and what conflicts of interest do you have?</p> | <p>When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here is an example to help you understand what this means.</p> <p>The Firm receives Compensation from third party real estate sponsors when it sells 1031 DST investments or other Reg D private placement investments to customers. The Firm does receive compensation and reimbursement from sponsors related to due diligence.</p> <p>For additional information, please see Investor.gov/CRS and Regulation Best Interest website documents.</p> <p>Conversation Starter, ask your financial professional:</p> <ul style="list-style-type: none"> • How might your conflicts of interest affect me, and how will you address them? |
| <p>How do your financial professionals make money?</p> | <p>The Firm's Registered Representatives make money from the product sales commission charged to the customer based on the product being sold. The commission as well as other fees and expenses are located in the private placement memorandum (PPM) and vary by sponsor and real estate segment i.e., Multifamily, Storage, Industrial.</p> |
| <p>Do you or your financial professionals have legal or disciplinary history?</p> | <p>Yes. However, NexTrend Securities has been in business since 1997 and the Firm has never received any customer complaints.</p> <p>For additional information, visit Investor.gov/CRS for a free and simple search tool to research the Firm and our financial professionals.</p> <p>Conversation Starter, ask your financial professional:</p> <ul style="list-style-type: none"> • As a financial professional, do you have any disciplinary history? • For what type of conduct? |
| <p>Additional Information</p> | <p>If you would like additional up-to-date information, or a copy of this disclosure, please call NexTrend Securities at 972-661-1283 x3 or visit our website www.nexttrend1031.com or https://nexttrend1031.com/form-crs-and-regulation-best-interest/</p> <p>For additional information, visit Investor.gov/CRS and Regulation Best Interest</p> <p>Conversation Starter, ask your financial professional:</p> <ul style="list-style-type: none"> • Who is my primary contact person? • Are they a representative of an investment adviser or a broker/dealer? • Who can I talk to if I have a concern about how this person is treating me? <p>To report a problem to FINRA, visit FINRA Complaints or call 240- 386- 4357. To report a problem to the SEC, visit Investor.gov or call the SEC's toll-free investor assistance line at 800-732-0330.</p> |