

Sankota Capital, LLC October 2024

Form CRS Relationship Summary

Introduction

Sankota Capital, LLC (“Adviser”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. ***There are free and simple tools available to research firms and financial professionals at www.investor.gov/CRS which also provides educational materials about investment advisers, broker-dealers and investing.***

What types of investment services and advice can you provide me?

We offer investment management services to retail investors for an ongoing asset-based fee calculated on the aggregate market value of all assets under management, including allocations to cash. If you choose to invest in our strategy, you will open an account with us. We will meet with you to make sure we understand your current financial situation, goals, and risk tolerance and your fit with our strategy which we will monitor on a regular basis.

Our client accounts are managed on a discretionary basis which means we don’t need to call you when buying or selling in your account.

We offer advice only with respect to equities managed in our strategy.

We generally require a minimum account size of \$250,000 but this is negotiable.

Additional information about our advisory services is located in Item 4 of our Firm Brochure which is available upon request or online at <https://adviserinfo.sec.gov/>.

Questions to Ask Us:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do those qualifications mean?

What fees will I pay?

Fees and costs affect the value of your account over time. We generally charge a negotiable asset-based fee ranging between 0.40% and 1.00% annually based upon the value of the assets in your account applied on a quarterly basis. Our incentive is to increase the value of your account over time which will increase our fees over time. Your fees can be pulled from your account or paid separately.

The custodian or broker-dealer that holds your assets can also charge you account fees as well as transaction fees when we buy or sell investments for you. These fees are in addition to our advisory fee. We do not receive nor share in any portion of those custodial or transaction fees. Some investments, such as mutual funds, exchange traded funds, and private funds charge additional fees that will reduce the value of your investments over time.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Additional information about our fees is located in Item 5 of our Firm Brochure. Our Firm Brochure is available upon request or online at <https://adviserinfo.sec.gov/>.

Questions to Ask Us:

- Help me understand how these fees and costs will affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?
- How do you determine what fee I will be charged?

What are your legal obligations to me when acting as my investment adviser? How does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Soft dollars are commission payments to a brokerage firm that can be used to pay for other services, such as research. They can create conflicts of interest because the firm may be incentivized to select a broker-dealer based on the soft dollar credits they receive, rather than the lowest execution costs. The firm follows written best execution policies and procedures to mitigate these risks.

A conflict of interest can arise when an investment advisor works at two different firms, as this may create competing obligations, incentives, and priorities. These conflicts are managed through transparency, clear roles, proper disclosure, supervision and adherence to fiduciary duties.

Questions to Ask Us:

- How might your conflicts of interest affect me, and how will you address them?

Additional information about our conflicts of interest can be found in our Firm Brochure under Item 11 which is available upon request or online at <https://adviserinfo.sec.gov/>.

How do your financial professionals make money?

Our financial professionals are paid either a variable or fixed monthly or quarterly amount. Whether as an employee or an independent contractor, each financial professional may receive compensation based upon factors such as the portion of the advisory fees that the firm collects from the accounts each professional manages, the time and complexity of the professional's services, and also the overall revenues of the firm.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and/or financial professionals do not have any legal and disciplinary history. Visit <https://Investor.gov/CRS> for a free and simple search tool to research our firm and our financial professionals.

Questions to Ask Us:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

You can find additional information about our firm's investment advisory services on the SEC's website at <https://adviserinfo.sec.gov>. You may also contact our firm at (312) 953-0106 and request up-to-date information and a copy of the relationship summary.

Questions to Ask Us:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?