

DASTA Investments LLC
Client Relationship Summary
March 15th, 2024

1. Introduction

Our name is DASTA Investments LLC (“we,” “us,” “our,” or “DASTA”). We are registered with the Securities and Exchange Commission as an investment adviser. The services offered and fees charged by an investment adviser differ from those of broker-dealers and it is important that you understand the differences. Free and simple tools are available to research investment adviser firms, broker-dealers, and their financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

2. Relationships and Services

What investment services and advice can you provide me? We will offer non-discretionary investment advisory services and discretionary portfolio management services to retail investors through the DASTA App (“DASTA App”). The DASTA App will be a platform through which you can research investment opportunities and trade in financial instruments, including Premium investment portfolios created by DASTA, developed internally by DASTA or portfolios that track third party user model portfolios (collectively “Model Portfolio(s)”). Through the DASTA App, you will have the sole discretion to replicate (or “copy”) one or more Model Portfolio such that all or a portion of your DASTA App account will replicate the trading of such Model Portfolio. To the extent you have elected to copy a Model Portfolio, as the Model Portfolio implements changes, DASTA will send corresponding automated trades reflecting the changes directly to DASTA Financial, LLC, the affiliate introducing broker dealer, and for execution through third party broker-dealer, Apex Clearing Corporation, through the DASTA App.

The DASTA App will collect and analyze personal information provided by you, which may include your age, income, investment objectives, investment horizon and risk tolerance, and other personal information that DASTA determines in its sole discretion to establish a user profile (“User Profile”). On a non-discretionary basis, the DASTA may recommend different Model Portfolios to you based on your User Profile. You can search the DASTA App for Model Portfolios using the DASTA App’s filtering and search tools. You have sole discretion to copy one or more Model Portfolios, however you will not be able to copy Model Portfolios that have a higher risk rating than what is determined by DASTA based on your User Profile (a “risk mismatch”), without an affirmative acknowledgement of the risk. You will be notified when a risk mismatch exists. You will be able to copy and sell Model Portfolios on a real-time basis by clicking a copy toggle button in the DASTA App.

We will monitor your copying of Model Portfolios on the DASTA App for consistency with your User Profile. While we may recommend Model Portfolios to you based on your User Profile, we do not have the authority to buy or sell investments on your behalf. You make the ultimate decision to buy or sell investments. We currently offer retail investors the opportunity to make investments limited to equities and equity-related instruments. We do not have any requirements for you to open or maintain an account with us.

Please see the more detailed disclosure on the investment services and advice that we can provide to you in Items 4 and 7 of our Form ADV Part 2A.

Conversation Starters. *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

3. Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay? You will pay us an asset-based fee ranging from .25% to 3% per annum of the net assets you allocate to copy a Model Portfolio. This fee will be calculated daily and charged quarterly. You will also be subject to other expenses in accordance with your investment management agreement with DASTA including but not limited to transfer fees (e.g., ACATs), regulatory transaction fees, and for paper copies of confirms and statements. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. **Please make sure you understand what fees and costs you are paying. Please see the more detailed disclosure on our fees and costs in Items 5.A., B., C., and D of our Form ADV Part 2A, which is available at <https://adviserinfo.sec.gov/>.**

Conversation Starters. *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means. Our asset-based fee involves the possibility for conflicting interests. Since the fee that we charge is based on the value of your investment into a Model Portfolio, we could be motivated to recommend that you allocate more money to these Model Portfolios.

Conversation Starters. How might your conflicts of interest affect me, and how will you address them?

Please see the more detailed disclosure on our conflicts of interest in Items 5, 6, 10, 11, 12, 14, and 17 of our Form ADV Part 2A, which is available at <https://adviserinfo.sec.gov/>.

How do your financial professionals make money? We pay our financial professionals a salary and discretionary bonus based on their performance.

4. Disciplinary History

Do you or your financial professionals have legal or disciplinary history? No. Visit www.Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Conversation Starters. As a financial professional, do you have any disciplinary history? For what type of conduct?

5. Additional Information

You can find additional information about our investment advisory services at www.SEC.gov. You can request up to date information and a copy of our relationship summary by contacting us at 917-261-2036 .

Conversation Starters. Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?