

INTRODUCTION

Epic Advisory Services Corp (“EASC” or the “Adviser” or “us” or “we”) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. The Adviser has an affiliated broker-dealer, Epic Capital Securities Corp. To obtain a copy of the Form CRS for this affiliate along with additional information visit www.epiccapitalfl.com. It is important for you to understand the difference in fees and services between brokerage firms and investment advisers. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We offer investment advisory services to retail investors, including high net worth, and institutional investors through discretionary and non-discretionary accounts pursuant to an Investment Advisory Agreement (“IAA”). This means we can make certain decisions regarding your account without your input (discretionary) or we may require all investment decisions to be approved by you (non-discretionary). The investment management services that we will provide to you primarily consist of design, structure, and implementation of various personalized investment strategies for managed accounts. Investment activities focus on investments in various kinds of assets and securities in a variety of markets that are intended to fit within the client’s objectives, strategies, restrictions, time horizon and risk profile as described by each client. We do not offer account monitoring services. However, from time to time, we voluntarily review clients’ accounts to determine whether our recommendation continues to be in clients’ best interest. EASC does not have any material limitations on the type of products it can recommend. There is a \$50,000 minimum investment to manage your account, but we may reduce or waive this requirement in some circumstances.

Please see [Form ADV Part 2A \(Brochure\) Items 4, 7 and 13](#) for more detailed information.

Conversation Starters. Ask your financial professional –

- Given my financial situation, should I choose brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications and what do these qualifications mean?

WHAT FEES WILL I PAY?

Fees for the management of accounts will be based upon a percentage of the total assets in the account. We typically receive an annual management fee, between 0.75% and 1.50%, based on the net asset value of the account, although all rates are negotiable. The fee will be charged quarterly in advance or in arrears depending on where the account is custodied. Clients are required to pre-authorize the Adviser or their custodian to directly debit management fees from their accounts. We may also charge performance fees, if allotted in your agreement with us, which generally range between 5% to 20%. Performance fees are individually negotiated with each client and are subject to a High Water Mark. Performance fee will be charged on a quarterly basis in arrears. Adviser’s fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred directly by the client. Additional fees imposed by custodians, brokers, and other third parties such as fees charged by fund managers, custodial fees, deferred sales charges, transfer taxes, wire transfers and electronic funds fees, maintenance, and other fees and taxes on brokerage account and securities transactions.

The more assets you have in the advisory account, including cash, the more you will pay us. We therefore have an incentive to increase the assets in your account in order to increase our fees. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Please see [Form ADV Part 2A \(Brochure\) Item 5](#) for more detailed information.

Conversation Starters. Ask your financial professional –

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about

these conflicts because they affect the recommendations we provide to you. Here are some examples to help you understand what this means.

- **General:** Adviser utilizes its affiliated broker dealer, Epic Capital Securities Corp (“ECSC”) as an introducing broker-dealer to establish advisory accounts and directs execution of client securities. In such case, the Adviser can limit the ability of commission rates to be negotiated on an arms’ length basis with other execution venues.
- **Rebates and/or Trailer Fees:** Certain Investment Adviser Representatives (IAR) who are dually associated with the ECSC Corp receive additional compensation for the sale of securities or other investment products, including trailer fees or service fees from the sale of mutual funds, in their capacity and affiliation with ECSC. The receipt of trailer fees creates a conflict of interest and material incentive for your financial professional to recommend purchases of mutual funds with rebate arrangements with the Adviser and its affiliates.
- **Affiliated Products:** We offer exchange traded notes (ETNs) managed by our affiliate Asset Managers Agente de Valores S.A. (“AMSA”), an advisory firm in Uruguay. EASC has excluded these assets as part of the calculation of its advisory fees to mitigate any potential conflicts of interest. However, when advisory accounts invest in affiliated ETNs, you will pay directly or indirectly AMSA for serving as the portfolio manager of these ETNs and ECSC/its representatives for execution costs when buying or selling these ETNs, in addition to other costs. The compensation received can potentially incentivize us to promote/recommend proprietary products to you over other non-affiliated products available.
- **Client Transaction and Personal Trading:** Our associates may recommend or invest in securities in which our related persons, their family members, or affiliates, directly or indirectly, have a position or material financial interest. Similarly, we and our related persons may also buy or sell for ourselves securities that we also recommend to you. Such transactions can include trading in securities in a manner that may be inconsistent with the advice given to you. In certain instances, the results of the investment activities of our associated or related persons for their accounts can differ from the results achieved by or for the account we manage for you.

Any conflicts of interest related to recommendations of other professionals will be disclosed to you in the event they should occur. We have policies and procedures to monitor the potential conflicts disclosed above.

Please see [Form ADV Part 2A \(Brochure\) Items 10 and 11](#) for more detailed information.

Conversation Starters. Ask your financial professional –

- How might your conflicts of interest affect me, and how will you address them?

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our financial professionals receive a percentage of the fee received by the Adviser based on assets under management, according to respective arrangements between the Adviser and each individual financial professional. Additionally, some of our supervised persons accept compensation for the sale of securities or other investment products, in their individual capacities as registered representatives of our affiliated broker-dealer, Epic Capital Securities. Please ask us for more information, and you can find more information about this in our ADV.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No, we do not, as noted on Form ADV Part 1, Item 11, Part 2A Item 9, or disclosures for any of our financial professionals in Items 14 A-M of their Form U4. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research us or our financial professionals.

Conversation Starters. Ask your financial professional –

- As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our brokerage services or to request a copy of the relationship summary, please contact us at info@epicapitalfl.com. If you would like additional, up-to-date information or a copy of this disclosure, please call + 1 (561) 829 2173.

Conversation Starters. Ask your financial professional –

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?