

**Arbus Capital Management, LLC**  
**Form CRS – Customer Relationship Summary**  
**November 2024**

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**Item 1 – Introduction**

Arbus Capital Management, LLC is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment adviser, and investing.

**Item 2 – Relationships and Services**

*“What investment services and advice can you provide me?”*

**Description of services:** We provide clients with investment advisory services, including ongoing portfolio management services, investment account review services and financial planning.

Portfolio management services are provided on a discretionary basis and the portfolios are monitored on a regular basis and reviewed at least quarterly. The minimum investment amount for portfolio management services is one million dollars.

An investment account review consists of a one-time analysis and reporting of client account holdings and investment choices in their taxable and/or retirement accounts, and is provided on a non-discretionary basis.

Financial planning is mainly delivered as a first step in the portfolio management process, but can be obtain as a one-time, stand-alone offering. Our financial planning services consist of analyzing client supplied personal and financial data to attempt to determine if current and future assets and income will be sufficient to meet projected financial goals and expenses.

**Additional Information:** For additional information please see our Form ADV, Part 2A brochure (Items 4 and 7 of Part 2A or Items 4.A. and 5 of Part 2A Appendix 1) on IAPD on [Investor.gov](https://www.investor.gov).

**Conversation Starters: Ask your financial professional...**

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

**Item 3 – Fees, Costs, Conflicts and Standard of Conduct**

*“What fees will I pay?”*

For discretionary portfolio management services we charge a tiered, ongoing asset-based fee in arrears, either monthly, quarterly, or annually, in accordance with the investment management agreement. The fee is charged as a percentage of your assets that we manage. Generally, the more assets there are in your advisory account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.

Investment account review and financial planning services are offered on an hourly-fee basis or negotiated fixed-fee basis. The number of hours required will depend upon the complexity of the client’s financial situation and unique circumstances.

**Other Fees and Costs:** Our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. Clients may incur certain charges imposed by custodians,

brokers, third-party investments and other third parties such as fees charged by managers, custodial fees, deferred sales charges, odd lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual funds and exchange traded funds (ETFs) also charge internal management fees, which are disclosed in a fund's prospectus.

**Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Please see Form ADV, Part 2A brochure (Items 5.A., B., C., and D of Part 2A or Items 4 of Part 2A Appendix 1) and other applicable documents on IAPD at [Investor.gov](http://Investor.gov).

**Conversation Starters: Ask your financial professional...**

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

***“What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?”***

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. We make money by managing investments held at a custodian, if you asked our investment advice regarding withdrawing some of those funds to invest in private commercial real estate that would present a conflict of interest.

**Conversation Starters: Ask your financial professional...**

- *How might your conflicts of interest affect me, and how will you address them?*

For additional information please see Form ADV, Part 2A brochure and other applicable documents available on IAPD at [Investor.gov](http://Investor.gov).

***“How do your financial professionals make money?”***

Arbus Capital Management is a fee-only investment advisor. Advisors are paid cash compensation based solely upon on client paid fees either as a percentage of assets under management, or hourly or fixed fees for reviews or financial plans. Advisors do not receive sales commissions, non-cash compensation, sales incentive bonuses, referral fees, revenue sharing nor any other kind of remuneration.

**Item 4 – Disciplinary History**

***“Do you or your financial professionals have legal or disciplinary history?”***

No, neither the Firm nor Arden Rodgers have a disciplinary history. We invite you to visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to research our Firm and financial professionals

**Conversation Starters: Ask your financial professional...**

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

**Item 5 – Additional Information**

For additional information about our services, please see our website [www.arbuscm.com](http://www.arbuscm.com), or read our Form ADV, Part 2A brochure available on IAPD at [Investor.gov](http://Investor.gov). If you would like additional, up-to-date information or a copy of this disclosure, please call us at [\(646\) 852-6134](tel:6468526134).

**Conversation Starters: Ask your financial professional...**

- *Who is my primary contact person?*
- *Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*