

# Allied Private Wealth

March 24, 2024

## Form CRS Customer Relationship Summary

<b>Introduction</b>	Allied Private Wealth is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment adviser, and investing.
<b>What investment services and advice can you provide me?</b>	<p>We offer investment advisory services to retail investors, including portfolio management, financial planning, and investment advisory services.</p> <ul style="list-style-type: none"><li>(i) <i>Investment Monitoring:</i> We implement investment monitoring across all retail client accounts on an ongoing basis without limitations. These are standard services offered by Allied Private Wealth.</li><li>(ii) <i>Investment Authority:</i> We operate with discretionary investment authority without limitation.</li><li>(iii) <i>Limited Investment Offering:</i> We do not offer limited investment offerings.</li><li>(iv) <i>Account Minimums and Other Requirements:</i> We do not have account minimums or any other restrictions.</li></ul> <p><b>For additional information</b>, please see [cross references to Form ADV, Part 2A brochure (Items 4 and 7 of Part 2A or Items 4.A. and 5 of Part 2A Appendix 1) and other applicable documents].</p> <p><i>Conversation Starters.</i></p> <ul style="list-style-type: none"><li>• Given my financial situation, should I choose an investment advisory service?</li><li>• How will you choose investments to recommend to me?</li><li>• What is your relevant experience, including your licenses, education, and other qualifications?</li><li>• What do these qualifications mean?</li></ul>
<b>What fees will I pay?</b>	<ul style="list-style-type: none"><li>(i) <i>Principal Fees and Costs:</i> Client fees for advisory services are assessed on a quarterly basis based on assets under management. Asset based fees are correlated to the assets in the account and the more assets there are in an investor's advisory account, the more the retail investor may pay in fees, and the firm may therefore have an incentive to encourage the retail investor to increase the assets in their account.</li><li>(ii) <i>Other Fees and Costs:</i> There may be some transactional or custodial fees charged by the custodians such as TD Ameritrade, Fidelity, or Schwab. These fees may be related to mutual funds, transactional fees, or service fees. These fees will be paid directly from the retail investor.</li><li>(iii) <i>Additional Information:</i> You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</li></ul> <p><i>Conversation Starter</i></p> <p>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>

<b>What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</b>	<p><i>When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations, we provide you. Here are some examples to help you understand what this means.</i></p> <ul style="list-style-type: none"> <li>• Third-party payments</li> <li>• Revenue Sharing</li> <li>• Principal Trading</li> </ul> <p><i>Conversation Starter</i></p> <ul style="list-style-type: none"> <li>• How might your conflicts of interest affect me, and how will you address them?</li> </ul>
<b>How do your financial professionals make money?</b>	<p>Our financial professionals earn their compensation based on the assets managed and are paid only in cash compensation.</p>
<b>Do your financial professionals have legal or disciplinary history?</b>	<p>Yes</p> <p>Visit <a href="http://Investor.gov/CRS">Investor.gov/CRS</a> for a free and simple search tool to research us and our financial professionals.</p> <p><i>Conversation Starter</i></p> <ul style="list-style-type: none"> <li>• As a financial professional, do you have any disciplinary history? For what type of conduct?</li> </ul>
<b>Additional Information</b>	<p><i>For additional information about our services, you can call us at 561-576-9192 or email <a href="mailto:Info@AlliedPrivateWealth.com">Info@AlliedPrivateWealth.com</a>.</i></p> <p><i>Conversation Starter</i></p> <ul style="list-style-type: none"> <li>• Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</li> </ul>