

AHANA MARKETS LLC

December 15, 2023

CUSTOMER RELATIONSHIP SUMMARY

Introduction

Ahana Markets LLC ("Ahana", "the Firm" or "We") is a registered broker/dealer with the U.S. Securities and Exchange Commission (SEC) and is a member of FINRA and SIPC. It is important for you to understand that brokerage fees are different from advisory fees. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS which also provides educational material about broker/dealers, investment advisers, and investing.

Relationships and Services

What investment services and advice can you provide me?

Ahana Markets LLC, markets and places private placement securities offerings on behalf of Issuers to institutional, retail accredited and non-accredited investors. The Firm offers investments in Regulation D, Regulation A private offerings. Clients are provided direct access to these private placements via a mobile app. Ahana **does not** provide investment advice or make recommendations of account types, securities, or investment strategies. We do not custody customer securities or funds. The Firm **does not** manage clients' assets.

The ultimate decision as to your investment strategy and the purchase of any investment appearing on the App will be solely your decision after reviewing the offering materials and conducting your own due diligence. We do not offer to investors a wide range of investments but limit our offerings to private placement securities (specifically Regulation D and A/A+ private placements). Other firms may offer a wider range of products and services, some of which may have lower costs.

Additional Information:	This is a brief summary of our services. For additional information, please refer to: <ul style="list-style-type: none">• Your account agreement• BrokerCheck• Our mobile App
Conversation Starter:	<ul style="list-style-type: none">• <i>Given my financial situation, should I choose a brokerage service? Why or why not?</i>• <i>How will you choose investments to recommend to me?</i>• <i>What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?</i>

Fees, Costs, Conflicts and Standard of Conduct

What Fees will I pay?

We are compensated by a percentage of the amount raised by the offerings appearing on the App. Generally, we receive between 5% and 9% of the amount raised, whereby the issuer generally pays 3% to 6%, and you as the investor generally pay between 2% to 3% of the amount you invest (commissions). The offering materials will detail the specific amount we are charging for any specific offering. We will also charge the issuer a flat fee generally ranging from \$3,500 to \$7,000 to conduct

our onboarding/due diligence of any specific offering.

You will pay commissions whether you make or lose money on your investments. Commissions will reduce any amount of money you make on your investments over time.

Conversation Starter:	<ul style="list-style-type: none">• <i>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i>
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What are your legal obligations to me when providing recommendations? How does your firm make money and what conflict of interest do you have?

We do not provide securities recommendations as your broker-dealer. The way we make money creates a potential conflict with your interests. You should understand and ask us about these conflicts because they can affect the services, we provide you. Here are some examples to help you understand what this means.

The more investments you make, or the larger the investment you make, the more commissions you will be charged. We do not conduct proprietary trading.

Additional Information:	We mitigate potential conflicts of interest by not providing recommendations as to what investment products to purchase, sell or hold. We do not provide investment, financial, legal or tax advice to our clients.
Conversation Starter:	<ul style="list-style-type: none">• <i>How might your conflicts of interest affect me, and how will you address them?</i>

How do your financial professionals make money?

Our financial professionals are paid a fixed base salary and merit-based discretionary bonuses.

Disciplinary History

Do you or your financial professionals have a legal or disciplinary history?

No. Visit investor.gov/CRS or brokercheck.finra.org/ for a free and simple search tool to research our firm and our financial professionals.

Conversation Starter:	<ul style="list-style-type: none">• <i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i>
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Additional Information

Should you wish to receive additional information regarding the firm and or its associates, you may reach us via email at support@ahanamarkets.com

Conversation Starter:	<ul style="list-style-type: none">• <i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?</i>• <i>Who can I talk to if I have concerns about how this person is treating me?</i>
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