

Bamboo Securities LLC Customer Relationship Summary – Form CRS

Bamboo Securities LLC (“*Bamboo*”) is a registered broker/dealer with the Securities and Exchange Commission (“SEC”), Financial Regulatory Authority (“FINRA”) and Securities Investor Protection Corporation (“SIPC”)

Brokerage and investment advisory services and fees differ and it is important for an investor to understand the difference. Free and simple tools to research firms and financial professionals are available at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

Bamboo Securities LLC offers brokerage services to retail customers. Our primary business is providing retail customers with an app-based brokerage platform (“App”) with the ability to trade Stocks, Mutual Funds, Exchange-Traded Funds (ETFs). We do not have account minimums. We do not monitor your account or individual investments. All customers’ accounts are self-directed. *Bamboo Securities LLC* does not make investment recommendations to customers. Our services involve effecting securities transactions for investors exclusively online. We buy and sell securities only at your direction and we do not offer recommendations of securities, strategies involving securities or securities accounts to you. We offer access to investment tools and education to help you make investment decisions, but this information is for informational and educational purposes only. The investor makes the ultimate decision regarding the purchase or sale of investments.

A retail investor would be charged more when there are more trades in their account, and the firm may have an incentive to encourage a retail investor to trade more often.

Conversation Starters – Ask your financial professional:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

Bamboo Securities LLC charges commissions for executing buy and sell orders. All trades in accounts with a balance of \$10,000 or less are charged a 1.5% commission. Accounts with balances over \$10,000 are charged a 1% commission on all trades. Other fees will apply. The primary fees you should expect to pay include:

- ~~Margin Interest: *Bamboo Securities LLC* Charges a percentage on funds you borrow to purchase securities in a margin account~~
- Fees Associated with Investments: You will pay management fees (expense ratio) or other charges for mutual funds and ETFs you purchase, which are explained in more detail in the mutual fund(s) or ETF’s prospectus.

Additional information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starters – Ask your financial professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

Bamboo Securities, LLC does not make recommendations to you. You will be responsible for making all of the decisions on your investments and any transactions associated with them. At the same time, the way we make money creates some conflicts with your interests. Here are some examples to help you understand what this means.

- ~~Payment for Order Flow: Bamboo Securities LLC earns revenue from your trade activity and therefore has a monetary incentive for you to trade more. We earn revenue from third-party market centers to which our clearing firm will route customer order, so we have an incentive to route orders to market centers that pay or rebate us for such orders, subject to our best execution requirements.~~ We charge commissions per trade based on the amount of the trade with a minimum commission of \$1. The amount of trades you engage in and the higher the amount of those trades will cause you to pay more commissions to the Firm.

We do not provide recommendations.

Conversation Starters – Ask your financial professional:

How might your conflicts of interest affect me and how will you address them?

How do your financial professionals make money?

Bamboo Securities does not have any registered representatives and you will be responsible for all of your investments on our platform. All transactions will be your responsibility.

Do you or your financial professionals have legal or disciplinary history?

None of the financial professionals associated with Bamboo Securities has any legal or disciplinary history.

Visit www.investor.gov/CRS for a free and simple search tool to research you and your financial professionals.

Conversation Starters – Ask your financial professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional information:

If you would like additional, up to date information or a copy of the most recent Form CRS contact customerservice@bamboosecurities.com or call (214) 396-4031.

Conversation Starters – Ask your financial professional:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?