

Form ADV Part 3: Relationship Summary

Equity Story USA

Introduction

Equity Story USA is an SEC-registered investment adviser that provides a non-custodial, non-customized, subscription service (“Service”). Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer the Service. When you subscribe to the Service, you receive access to information and webinars wherein open discussions take place in real time. For more information about these services please see Item 4 of our ADV Part 2A, [available here](#).

Monitoring. We will not monitor your investments.

Investment Authority. None. We will at no time, either directly or indirectly, have control or custody of any investment monies or access to your brokerage account. You alone make the decision regarding the purchase or sale of your investments.

Limited Investment Offerings. We offer a Service. Our insights are based on our research and proprietary independent market analysis.

Account Minimums and Other Requirements. We charge a quarterly fixed rate fee of \$248.75 for the Service.

Additional Information. Please see Items 4 and 7 of our ADV 2A, [available here](#).

Key questions to ask:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay? You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

We charge a quarterly fixed rate fee of \$248.75, payable in advance, and you have the option to renew on the three-month anniversary date of your initial Subscription. The Service does not auto-renew. For additional information, please see Item 5 of our ADV 2A, [available here](#).

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? *When we act as your investment adviser*, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. As part of our Subscription service, we provide access to the Service. A conflict can exist as we receive payment for the Services and there is no guarantee that you will know how to receive a return on your investment based on your use of the Services. For more information about our conflicts, please see Items 10 and 12 of our ADV Part 2A, [available here](#).

Key questions to ask:

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| How might your conflicts of interest affect me, and how will you address them? |
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How do your financial professionals make money?

We are compensated solely for the Service.

Do you or your financial professionals have legal or disciplinary history?

No. A free and simple search tool to research us and our financial professionals is available at <https://www.investor.gov/CRS>.

Key questions to ask:

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| As a financial professional, do you have any disciplinary history? For what type of conduct? |
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Additional Information

Additional information about our investment advisory services and an up-to-date copy of the relationship summary is available by contacting us at +61 417 689 482 or contacting the Chief Compliance Officer, Mr. Mark M.G. Goes, at Mark@equitystory.com.au.

Key questions to ask:

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| Who is my primary contact person? Is he or she a representative of an investment adviser or a broker dealer? Who can I talk to if I have concerns about how this person is treating me? |
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