

CLIENT RELATIONSHIP SUMMARY

March 2024



Driven Investments LLC. is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for a retail investor to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Planning to talk with one of our advisors? Look for the helpful questions you might want to ask.

Conversation Starters. Ask your financial professional - Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What investment services and advice can you provide me?

We offer investment advisory services to retail investors. We provide clients a strategy to help them reach their financial goals through portfolio management, financial planning and hiring third party advisers to manage your assets. Our investment services and advice are available remotely through one of our advisors. We do not maintain a physical branch office.

Portfolio management services primarily consist of diversified asset allocation models appropriate for each client's needs, typically invested in mutual funds and exchange-traded funds. These accounts are periodically reviewed no less than annually. When clients elect portfolio management services, they give us limited discretionary authority to place orders through custodians, select investments in their model, reallocate assets and rebalance accounts in accordance with their investment objectives. We also offer non-discretionary portfolio management services for select accounts where we must gain our clients consent prior to trading in their account. We may also hire a third-party adviser to manage a portion of your portfolio while we maintain discretion. Portfolio management clients may place reasonable restrictions on their accounts. Retail investors wishing to open an account or establish a relationship are not subject to a minimum account size.

Financial Planning services primarily consist of us assessing your financial situation and providing you with advice to meet your goals, either on a month to month basis or for a defined period of time.

Please see Items 4 and 7 of our ADV on our website, <https://www.thedriveninvestments.com>, for more detailed information about our services.

Conversation Starters. Ask your financial professional - Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What fees will I pay?

The fees for our services depend on: (1) whether services are accessed through your self-directed 401k or directly, (2) the level of service chosen, (3) how your account is invested and/or (4) how you became a client.

Professional Management fees are calculated as a percentage of Assets Under Management. The amount of assets in your account affects our advisory fee. The more assets you have in your advisory account, the more you will pay us and thus we have an incentive to increase those assets to increase our fee. All fees are paid monthly in arrears. You pay our fees even if you do not have any transactions. Our fee does not include transaction costs and fees to a broker-dealer that has custody of these assets.

Some investments (e.g., mutual funds, variable annuities, etc.) impose additional fees (e.g., transactional fees

and product-level fees) that reduce the value of your investment over time. The same goes for any additional fees you pay to a custodian. Additionally, you will pay transaction fees, if applicable, when we buy or sell an investment for your account. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.** See Items 5 and 14 of our ADV on our website for more detailed information about our fees and costs.

Conversation Starters. Ask your financial professional - Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means. Our advisors have an economic incentive to encourage you to invest money through them, since this increases their compensation (because they are paid in part based on the assets they manage). When engaging in our financial planning services the more work we expect to perform for you the higher our fee would be. This creates an incentive for you to agree to have us complete more work for you to increase our fee. While we do not believe this is a material conflict, you should be aware of this.

Please see our ADV on our website for more detailed information about our conflicts of interest.

Conversation Starters. Ask your financial professional - How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our Advisors are required to act in your best interest and not put our interests ahead of yours. Advisors are typically paid a percentage of the fees we earn from assets under our management (AUM). For additional information, please visit our website.

Do you or your financial professionals have legal or disciplinary history?

No, we do not have any legal and disciplinary events. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple tool to research our firm and our financial professionals.

Conversation Starter. Ask your financial professional - As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our investment advisory services, or to request a copy of this [Form CRS](#), please call us at (844) 932-3272 or visit <https://www.thedriveninvestments.com> for our ADV or this Form CRS.

Conversation starters. Ask your financial professional - Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?