

## Form ADV Part 3: Relationship Summary

### Athos Private Wealth LLC

#### Introduction

**Conversation Starters: As a financial professional, what is your relevant experience, including your licenses, education, and other qualifications? Do you have any disciplinary history? For what type of conduct?**

Athos Private Wealth LLC ("Athos" or the "Firm") is an investment adviser registered with the U.S. Securities and Exchange Commission. Athos provides investment advisory services to high-net worth individuals, families, trusts, estates, charitable organizations, and businesses (our "Clients"). Athos provides investment advisory services, strategic planning and consulting services, family office consulting and/or services, and Private Fund Advisor Services to its Clients. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about investment advisers, broker-dealers and investing. To learn more about our backgrounds, education and licenses, advisor specific information may be obtained at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Additionally, the **Form ADV Part 2A** mentioned in this summary is available at <https://adviserinfo.sec.gov>.

#### What Investment Services and Advice Can You Provide Me?

**Conversation Starters: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me?**

**Description of Services:** Athos provides investment advisory services, strategic planning and consulting services, family office consulting and/or services, and Private Fund Advisor Services to its Clients. Athos provides custom investment advisory services for its Clients. Athos will ascertain each Client's objectives and maintain continuous personal contact while providing and implementing investment management, planning and related advisory services.

**Selection of Managers:** Athos selects certain Independent Managers to actively manage all or a portion of its Clients' assets. Athos Private Wealth evaluates a variety of information about Independent Managers, which includes the Independent Managers' public disclosure documents, materials supplied by the Independent Managers themselves and other third-party analyses it believes are reputable. Athos continues to provide services relative to the discretionary or non-discretionary selection of the Independent Managers.

**Strategic Planning and Consulting Services:** Athos may also provide fundamental and complex strategic planning and/or consulting services.

**Start-up Advising:** Athos was established to work with technology founders, executives, and professionals. As part of our services, we leverage our unique experience working with influential technology founders to provide guidance to start-up founders during all stages of the start-up lifecycle.

**Family Office Consulting and Services:** These services are custom to each Client and are available as a standalone service or as an additional service for a current Client.

**Private Fund Advisor Services:** Athos serves as the investment manager to private pooled investment vehicles (each a "Fund" and collectively the "Funds").

For more information, please see **Item 4** of our **Form ADV Part 2A**. When providing asset management services, you can choose whether you'd like us to provide services on a **discretionary** basis (we will have the authority to determine the type and amount of securities to be bought or sold in your account) or a **non-discretionary** basis (we will have to confirm any trades in your account with you before we place them). For more information about investment authority, please see **Item 16** of our **Form ADV Part 2A**.

**Assets Under Management Fee Minimums:** Athos typically requires a minimum of \$1,000,000 of investable assets in order to be a Client of Athos. To reach this minimum, Clients can aggregate all household accounts.

#### What Fees Will I Pay?

**Conversation Starters: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

**Description of Principal Fees & Costs:** Fees are charged on an annual fee based on a percentage of assets managed or advised, calculated in accordance with each Client's advisory agreement. The management fee is typically a percentage based on the market value of all assets in the account, including cash holdings and is generally paid to Athos monthly in arrears. The annual fee for asset management services will be based upon a fee schedule with a maximum advisory fee of 2.00%, based on the total assets under management.

When engaging us for asset management services, you may also incur other fees and expenses. The broker-dealer/custodian on your account may charge you transaction fees for executing trades in your account. Additionally, if we invest in funds with underlying management fees, you will be responsible for those underlying fund fees as well. Please see **Item 5 of our Form ADV Part 2A**.

**Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For more information about the fees we charge and the other fees and expenses you will incur, please see **Item 5 of Form ADV Part 2A**.

***What Are Your Legal Obligations to Me When Acting as My Investment Adviser?***

***How Else Does Your Firm Make Money and What Conflicts of Interest Do You Have?***

**Conversation Starters: How might your conflicts of interest affect me, and how will you address them?**

**Standard of Conduct: When we act as your investment adviser,** we are required to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Our firm only makes money from the management fees and service fees related to activity in your account that you pay us for managing and servicing your account. We do not provide any other services or have other sources of revenue.

We actively manage our own personal accounts while at the same time managing your accounts and other Client accounts. This creates different conflicts of interest for which we have developed procedures to mitigate and control for those conflicts. For more information see **Item 11 of Form ADV Part 2A**.

**Additional Information:** For more information about our conflicts of interests and the ways we are compensated, please see **Item 5** and **Item 10** of our **Form ADV Part 2A**.

***How Do Your Financial Professionals Make Money?***

**Description of Salary/Payment of Financial Professionals:** Our financial professionals are paid a fixed salary and do not have any incentive-based compensation structures. All employees of Athos are eligible to receive a discretionary bonus, as determined by management.

***Do You or Your Financial Professionals Have Legal or Disciplinary History?***

**No.** Neither the firm, nor our employees have a legal or disciplinary history to report. You can look up more information about us and our investment adviser representatives at <https://www.investor.gov/CRS>.

***Additional Information About Athos***

**Conversation Starters: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I speak to if I have concerns about how this person is treating me?**

Additional information about Athos is available at <https://athoswealth.com>. You can also find our disclosure brochures and other information about us at <https://adviserinfo.sec.gov>. If you would like a copy of our Form ADV Part 2A disclosure brochure and/or have any questions or want an up-to-date copy of this relationship summary, we can be reached by phone at (435) 252-1099, where you will speak to an investment advisor, who is a representative of the firm. If you have concerns about your financial advisor, you may request to speak to the Chief Compliance Officer at Athos.