

COMPASS GROUP INVESTMENTS SOLUTIONS LLC

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**Form ADV Part 3
("Form CRS")**

March 28, 2024

Item 1: Introduction

Compass Group Investments Solutions LLC (“Compass”) is an investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services**What Investment Services and Advice Can You Provide Me?**

Compass offers investment advisory services to retail investors, which include individuals and families who seek investment services primarily for personal or familial purposes, with a particular focus on high net-worth individuals and families. Compass provides advice to client accounts based on the client’s specific investment objectives, strategies, restrictions and risk profiles set forth in each client agreement.

Compass provides investment advisory services on a discretionary and non-discretionary basis. Compass reviews your account and portfolio on a periodic basis, including conducting a yearly report. Such reviews are based on objectives or parameters established by you, which are generally memorialized through your individual advisory agreement and/or investment policy statement. This review is carried out by the Compass’s investment advisory personnel. Additionally, you are offered at least an annual account review by a representative, and interim reviews may be triggered, either at your request, or by material market, economic or political events, or by changes in your financial situation (such as retirement, termination of employment, physical move, or inheritance).

Compass does not currently require a minimum account size or investment amount.

FOR ADDITIONAL INFORMATION about our advisory services, please see Items 4 and 7 of our Form ADV Part 2A [Firm Brochure](#).

CONVERSATION STARTERS:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3: Fees, Costs, Conflicts, and Standard of Conduct**What Fees Will I Pay?**

Retail Investors will pay an investment advisory fee on a quarterly basis in arrears. This fee is generally up to 2% of the net asset value of your account and is specified in your Investment Advisory Agreement with us. Compass does not anticipate charging performance-based fees for your account.

As described above, clients generally pay a fixed asset-based management fee. Such fees may create conflicts of interest because the more assets there are in your advisory account, the more fees you will pay. Thus, Compass may have an incentive to encourage a retail investor to increase the assets in his or her account and to favor those clients that pay Compass higher fees. Compass has adopted and implemented

policies and procedures intended to address conflicts of interest relating to the management of multiple accounts, including accounts with different fee arrangements.

In addition to paying investment management fees, other fees and costs related to Compass's management of your account may include transaction-based fees when we buy or sell an investment for you (e.g., applicable commissions paid to broker-dealers for buying or selling investments). You may also experience fees paid to a broker-dealer or bank that holds your assets.

FOR ADDITIONAL INFORMATION about our fees and costs, please see Item 5 of our Form ADV Part 2A [Firm Brochure](#).

CONVERSATION STARTER: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

CONVERSATION STARTER: How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Compass's financial professionals receive a base salary and are eligible for an annual discretionary bonus. Additionally, certain key financial professionals receive quarterly bonuses based on the revenue generated to Compass by their clients and/or net inflows of assets. You will pay advisory fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. You may visit www.investor.gov/CRS for a free and simple search tool to research the Adviser and our financial professionals.

CONVERSATION STARTER: As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information

You may request up-to-date information and request a copy of the relationship summary by contacting Pedro Alvizua, our Chief Compliance Officer, at pedro.alvizua@cgcompass.com.

CONVERSATION STARTER: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?