

Resolute Advisors LLC
d/b/a: Resolute Partners Group
February 2, 2024
Form Client Relationship Summary (CRS)

Resolute Advisors LLC, doing business as Resolute Partners Group ("RPG") is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ, and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We offer the following investment advisory services to retail investors: Portfolio Management Services, Financial Consulting and Selection of Other Advisers. Detailed information regarding our services, fees and other disclosures can be found in our [Form ADV Part 2A](#) Items 4, 7, and 8.

Account Monitoring: If you open an investment account with our firm, we will monitor your investments on an ongoing basis and will conduct account reviews at least quarterly unless otherwise agreed to, to ensure the advisory services provided to you are consistent with your investment needs and objectives. Our monitoring of your investment accounts is limited by any assets that are not held with a custodian for which we receive data feeds which requires manual monitoring typically on a monthly, quarterly, or annual basis depending on the typical reporting of the underlying investments.

Investment Authority: We manage investment accounts on a *discretionary* basis whereby *we will decide* which investments to buy or sell for your account. We have discretion to select, retain or replace third-party managers to manage your accounts. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We also offer *non-discretionary* investment management services whereby we will provide advice, but *you will ultimately decide* which investments to buy and sell for your account. You have an unrestricted right to decline to implement any advice provided by our firm on a non-discretionary basis.

Investment Offerings: We provide advice on various types of investments. Our services are not limited to a specific type of investment or product, although we do tend to focus primarily on market-based securities like exchange traded funds ("ETFs"), mutual fund shares and individual equities, options, or municipal securities managed via third-party managers.

Account Minimums and Requirements: In general, we require a minimum account size of \$10,000,000 and a minimum annual fee in the amount of \$75,000 to open and maintain an advisory account, however both minimums may be waived or reduced at our sole discretion.

Key Questions to Ask Your Financial Professional

Given my financial situation, should I choose an investment advisory service? Why or Why Not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

RPG's fee for portfolio management services is based on a percentage of a client's managed assets. The fee is negotiable, but generally does not exceed 0.75%. RPG charges a minimum annual fee in the amount of \$75,000 to open an advisory relationship. We may, in our sole discretion, waive or adjust minimum fees.

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services. For detailed information, refer to our [Form ADV Part 2A](#), Items 5 and 6.

- **Asset Based Fees** - Payable quarterly in arrears. Since the fees we receive are asset-based (i.e., based on the value of your account), we have an incentive to maintain or increase your account value which creates a conflict especially for those accounts holding illiquid or hard-to-value assets;

A copy of our ADV Part 2A can be found at

https://files.adviserinfo.sec.gov/IAPD/Content/Common/crd_iapd_Brochure.aspx?BRCHR_VRSN_ID=875631

- **Fixed Fees** - Payable quarterly in arrears;
- **Other Advisory Fees** - In addition to our fees, if we refer you to a third-party money manager, you will also be charged advisory fees by that third party money manager, which are separate and apart from our fees.
- Clients may also pay additional fees including, but not limited to, the following:
 - Custodian fees;
 - Account maintenance fees;
 - Fees related to mutual funds and exchange-traded funds;
 - Transaction charges when purchasing or selling securities; and
 - Other product-level fees associated with your investments.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Key Questions to Ask Your Financial Professional

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

For those accounts that are subject to an asset based advisory fee, we have an incentive to encourage you to increase the assets in your account since the greater your account value, the more you will pay in advisory fees and thus the more we will earn.

Refer to our [Form ADV Part 2A](#) to help you understand what conflicts exist.

Key Questions to Ask Your Financial Professional

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our Company and the financial professionals servicing your account(s) are compensated through salary and bonus, and in some instances, partner distributions. RPG is entirely employee-owned and operated. Each employee is paid a predetermined base salary based on their experience and skillset, a discretionary bonus based on their individual contributions and firm wide performance, and equity owners are paid a partnership distribution based on net earnings and their ownership percentage. The bonus and partnership distribution compensation paid to our financial professionals involves a conflict of interest because they have a financial incentive to refer clients to our firm.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. Visit Investor.gov/CRS for a free and simple research tool.

Key Questions to Ask Your Financial Professional

As a financial professional, do you have any disciplinary history? For what type of conduct?

You can find additional information about your investment advisory services and request a copy of the relationship summary at 415-699-0309 or click the link provided <http://www.adviserinfo.sec.gov/>.

Key Questions to Ask Your Financial Professional

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?