



# NYMBUS CAPITAL INC. FORM CRS

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This document summarizes the advisory services of Nymbus Capital Inc. (“Nymbus,” “we,” “our,” or “us”) offers to retail investors as an investment adviser registered with the Securities and Exchange Commission (“SEC”).

Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. You can use the “*Conversation Starters*” to ask us for more information. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## I. WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Nymbus Capital offers discretionary advisory services to retail and institutional investors. In a discretionary relationship, we make investment decisions for you according to your investment goals and risk tolerance. Our services include:

- Portfolio management tailored to your objectives.
- Regular monitoring of your investments.
- Financial planning and consulting services.

Our firm primarily invests in stocks, bonds, ETF and mutual funds. We do not limit our recommendations to specific types of products or investments. Accounts are reviewed at least quarterly.

If you enroll in one of our discretionary advisory services, you will open a brokerage account with an affiliate, and Nymbus will have discretion to manage the investments in the brokerage account.

***Conversation Starters.*** Ask your financial professional—

- Given my financial situation, should I choose an investment advisory service?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications?  
What do these qualifications mean?

## II. WHAT FEES WILL I PAY?

We charge an ongoing advisory fee for one of our discretionary advisory programs; this fee is based upon the value of assets in your account (an “**asset-based fee**”) and is assessed quarterly (based on our established fee schedule). The more assets in your account, the more you will pay in fees, and we therefore have an incentive to encourage you to increase the assets in your account.

If you open a brokerage account with our affiliate as part of one of our discretionary advisory programs, you will pay certain brokerage and custodian fees, such as fees for stock transactions, returned checks, retirement account closeouts, and express mail delivery.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Because we and your financial professional are compensated based on the amount of assets in your account, we and your financial professional are incentivized to increase your assets. During periods of lower trading activity, the advisory fee may be higher than the transaction charges you would have paid in a brokerage account. To determine whether an investment advisory account is appropriate for you, you should carefully analyze the projected costs of an investment advisory account versus a brokerage account based on factors such as expected size, volume and frequency of transactions, projected holding period and the advisory services provided by**



your financial professional.

For additional information, please see the [Nymbus Capital Firm Brochure](#).



**Conversation Starters.** Ask your financial professional—

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

### III. WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. Here's an example to help you understand what this means.

- We have an incentive for you to choose our discretionary advisory service for which we charge an advisory fee because we will receive compensation through this fee.



**Conversation Starters.** Ask your financial professional—

- How might your conflicts of interest affect me, and how will you address them?

### IV. HOW DOES YOUR FIRM MAKE MONEY?

We pay our employees a base salary and discretionary cash bonus; they do not earn commissions. Salary increases and discretionary bonuses, if any, are determined based on an employee's overall performance. Performance is assessed based on a range of objectives and competencies.

### V. DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Not for Nymbus and our financial professionals. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.



**Conversation Starters.** Ask your financial professional—

- As a financial professional, do you have any disciplinary history? For what type of conduct?

### VI. ADDITIONAL INFORMATION

For additional information about our advisory services, go to <https://www.nymbus.ca/en/>. You can also request up-to-date information and a copy of our relationship summary by contacting us at [info@nymbus.ca](mailto:info@nymbus.ca).



**Conversation Starters.** Ask your financial professional—

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?