



Client Relationship Summary (Form CRS) – March 29, 2024

Strategic Global Advisors, LLC ("SGA," "we," "our," or "us") is an independent, majority employee and women-owned and controlled Limited Liability Company headquartered in Newport Beach, California. Brokerage and investment advisory services and fees differ, and it is important for retail investors to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We provide investment advisory services to retail investors through continuous and regular investment management services on a discretionary basis in separate accounts. SGA manages retail accounts based on the investment strategy the client chooses. SGA applies the strategy for each client taking into account the client's directions or restrictions and tailoring investment decisions for clients based on this information. In the contracts they sign with SGA, retail clients grant us full discretion to decide the specific security to trade, the quantity, and the timing of transactions for client accounts. SGA will not contact clients before placing trades in their account, but clients may receive confirmations directly from the broker for any trades placed. Clients also give SGA trading authority over their accounts when they sign the custodian paperwork. However, certain client-imposed conditions may limit SGA's discretionary authority, such as where the client prohibits transactions in specific security types or directs SGA to execute transactions through specific broker-dealers. We generally review client accounts daily, and we offer account reviews to clients on a quarterly basis.

Additionally, we provide investment recommendations to wrap program clients and model portfolio services for other financial services firms; advisory services to institutions, unaffiliated and affiliated investment companies (mutual funds), collective investment trusts (CITs) sponsored by an unaffiliated institutional trustee; and sub-advisory services to Undertakings for Collective Investment in Transferable Securities (UCITS), managed by an unaffiliated third-party. SGA's suggests account assets of at least \$25MM. Minimum account size may be negotiable in certain circumstances.

For additional details regarding the types of investment services and advice available to you, please see Item 4 and Item 7 of our Form ADV Part 2A at the following link: adviserinfo.sec.gov/firm/summary/286019

Conversation Starter

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

SGA's advisory fees for retail investors are payable quarterly in arrears based on the average month-end account market value. This means that the more assets that are in your account, the more we will charge in fees, so we have an incentive for you to increase the assets in your account. Fees range between 0.45% and 0.90% annualized, based on the strategy of the account, and may include breakpoints as the amount of assets under management for a specific client or account increases.

SGA does not determine the fees that clients pay to the third-party wrap program and model portfolio sponsors. In the case of the wrap programs, SGA charges separate advisory fees directly to the sponsors. In the case of the model portfolios, the sponsors compensate SGA based on the amount of assets that are managed according to the recommendations and model portfolios that SGA provides.

SGA's advisory fees do not typically include proxy fees, custodian fees and other holdings and transaction-related fees. Clients generally pay all brokerage commissions, stock transfer fees, and/or other similar charges incurred

in connection with transactions in accounts from the assets in the account, which are in addition to the fees a client pays to SGA. Client accounts may also be subject to foreign tax withholding which is generally the responsibility of the client to reconcile and reclaim.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information regarding the fees and costs you are paying please visit our Form ADV Part 1 Item 5.E or Form ADV Part 2A Item 5 and Item 6 at adviserinfo.sec.gov/firm/summary/286019.

Conversation Starter:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

We may manage portfolios for persons affiliated with us, accounts we have a direct interest in, and private investment funds that we have an interest in. These arrangements can create an incentive for us to favor one or more of these portfolios or types of portfolios over others. Additionally, SGA and our personnel may purchase or sell securities for ourselves that we also recommend to clients. This presents a potential conflict of interest as we may have an incentive to take investment opportunities from clients for our own benefit, favor our personal trades over client transactions when allocating trades, or to use the information about the transactions we intend to make for clients to our personal benefit by trading ahead of clients.

For additional details regarding potential conflicts of interest for our Firm, please see Item 10 and Item 11 of our Form ADV Part 2A at adviserinfo.sec.gov/firm/summary/286019.

Conversation Starter:

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

SGA's investment professionals are compensated through a combination of fixed salary and discretionary bonuses. The compensation of SGA's marketing professionals may be based on factors including: Amount of client assets they service, time and complexity required to meet a client's needs, specific products sold to a client.

Do you or your financial professionals have legal or disciplinary history?

No. For a free and simple tool to research us and our financial professionals go to Investor.gov/CRS

Conversation Starter:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information

Retail investors can obtain more information about SGA and obtain a copy of Form CRS at sgadvisors.com. To request up-to-date information and/or Form CRS, please call (949) 706-2640.

Conversation Starter:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**

Client Relationship Summary (Form CRS) – March ~~31~~²⁹, 202~~4~~³

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