

# AEGIS WEALTH MANAGEMENT, LLC

## FORM CRS – CLIENT RELATIONSHIP SUMMARY

Aegis Wealth Management, LLC (“AWM”, “we”, “our”, “us”) is an investment adviser registered with the Securities and Exchange Commission. We offer advisory accounts and services rather than brokerage accounts and services. This document is a summary of the types of services we provide and how you pay. *Suggested questions to ask us regarding our firm and the services we offer are at the end of each section.*

Free and simple tools are available to research firms and financial professionals at [www.Investor.gov/CRS](http://www.Investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## RELATIONSHIPS AND SERVICES

### WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We offer asset management and financial planning services to retail investors.

**Asset Management Services:** Based on the information shared by you, we will structure the portfolio to your specific goals, objectives and risk tolerance. We offer non-discretionary asset management meaning that we make recommendations to you to buy and sell investments in your account(s), but you ultimately decide to buy or sell an investment. Trades, transactions and changes to your account require prior approval from you. We regularly monitor accounts and review accounts at least quarterly. We do not have an account minimum.

**For additional information,** please see our Form ADV Part 2A Brochure, Items 4, 7 and 13.

#### Key Questions to Ask Your Financial Professional:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

## FEES, COSTS, CONFLICTS AND STANDARD OF CONDUCT

### WHAT FEES WILL I PAY?

For asset management accounts, we charge an annual, fixed advisory fee that is determined based on a percentage of assets under management up to 1.00%. This advisory fee is deducted from your account, in arrears, on a quarterly basis.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

### WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations we provide you. Here are some examples to help you understand what this means.

- The more assets you have in your advisory account, including cash, the more you will pay us. Therefore, we have an incentive to increase the assets in your account to increase the amount we earn (i.e., recommending that you rollover your retirement plan account or transfer assets to our firm).
- We receive fees if you act on our financial planning recommendations by opening an advisory account through us.

## HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

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Our financial professionals receive a salary that can increase based on the amount of client assets they service. The more assets you have in the advisory account, including cash, the more you will pay us and the more your financial professional will potentially earn. Therefore, your financial professional has an incentive to increase the assets in your account to potentially increase the amount he or she earns (i.e., recommending that you rollover your retirement plan account or transfer assets to our firm).

Your financial professional can receive more money by recommending that you invest in an insurance product if you choose to purchase such products through that financial professional.

**For additional information**, please see our Form ADV Part 2A Brochure Items 4, 5, and 10 and any brochure supplement your financial professional provides.

### **Key Questions to Ask Your Financial Professional:**

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- How might your conflicts of interest affect me, and how will you address them?

## DISCIPLINARY HISTORY

### DO YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

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Yes. Please visit [www.investor.gov](http://www.investor.gov) for a free search tool to research us and our financial professionals.

### **Key Questions to Ask Your Financial Professional:**

- As a financial professional, do you have any disciplinary history? For what type of conduct?

## ADDITIONAL INFORMATION

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For additional information about our services, see our Form ADV Brochure on [www.adviserinfo.sec.gov/IAPD](http://www.adviserinfo.sec.gov/IAPD) and any brochure supplement your financial professional provides. Call us at 407-831-3660 to request up-to-date information, including the current relationship summary.

### **Key Questions to Ask Your Financial Professional:**

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?