

TSG Alpha Partners, LLC
Client Relationship Summary
November 30, 2024

Item 1. Introduction.

TSG Alpha Partners, LLC (TSG Alpha) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2. Relationships and Services.

What investment services and advice can you provide to me?

The principal investment advisory services that we offer to retail investors are discretionary investment management services utilizing an open architecture platform while focusing on establishing the most appropriate asset allocation in response to each client's individual investment goals and objectives and risk tolerance. We offer these services to multiple types of clients, including individuals, (including high net worth individuals), qualified retirement plans, trusts, charitable organizations, small businesses, family partnerships as well as to institutional clients. We also provide a wide array of financial planning services such as comprehensive financial planning, retirement planning, estate planning, tax planning, insurance planning (risk management), long-term care planning, education planning, small business consulting & retirement planning and other planning services.

Monitoring

As a part of our portfolio management service, once the appropriate portfolio has been determined, portfolios are regularly monitored, and may be rebalanced based upon the client's individual needs, stated goals and objectives. Retirement plan consulting clients receive reviews of their retirement plans for the duration of the service.

Investment Authority

Generally, our investment management agreement with you gives us the authority to buy and sell investments on your behalf. However, under certain circumstances we provide investment recommendations without discretion, wherein the client makes the ultimate decision regarding the purchase or sale of investments.

Account Minimums and Other Requirements

We typically require a minimum investment of \$25,000, however, specific accounts where allocations are made to third party managers require varying account minimums. **Additional information about TSG Alpha's services & advice are available in Items 4 and 7 of our Form ADV Part 2A which is available at <https://adviserinfo.sec.gov/firm/summary/319493>.**

CONVERSATION STARTERS: Ask your financial professional:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

Item 3. Fees, Costs, Conflicts and Standard of Conduct.

What fees will I pay?

TSG Alpha's asset-based management fees are negotiable and the maximum annual fee charged for this service will not exceed 1.50% of the net assets in your account each year. Because we charge an asset-based fee, the more assets there are in your advisory account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.

For financial planning and consulting services, we charge on an hourly or flat fee basis. The maximum hourly fee rate will not exceed \$300 per hour. Flat fees range from \$5,000 to \$25,000.

In addition to the above, clients may also pay other fees and costs to the broker-dealer or bank (called the “custodian”) that maintains each client’s account (called “custody” fees). These fees include, but are not limited to, brokerage and transaction costs, interest on margin accounts, clearing and settlement charges, borrowing charges on securities sold short, custodial fees, and bank service fees. Clients may also pay charges imposed directly by a mutual fund, index fund or exchange traded fund (ETF) which will be disclosed in the fund’s prospectus.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time.

Please make sure you understand what fees you are paying. Additional information about our fees are included in Item 5 of our Form ADV Part 2A, which is available at <https://adviserinfo.sec.gov/firm/summary/319493>.

CONVERSATION STARTER: Ask your financial professional:

- **Help me understand how these fees and costs might affect my investments.**
- **If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are examples to help you understand what this means:

- When we choose broker-dealers to execute your transactions, we consider the research, products and services that we receive from broker-dealers. This affects our duty to obtain best execution on your transactions.
- Some of TSG Alpha’s personnel are licensed insurance agents. We are affiliated with TSG Insurance Services, LLC (NYS Dept. of Financial Services License # LA-1789194) which may receive compensation based on sales of insurance products.

CONVERSATION STARTER: Ask your financial professional:

- **How might your conflicts of interest affect me and how will you address them?**

Please see the more detailed disclosure on our conflicts of interest in Items 5, 10, 11, 12, and 14 of our Form ADV Part 2A, which is available at <https://adviserinfo.sec.gov/firm/summary/319493>.

- **How do your financial professionals make money?** Our financial professionals are compensated by salary a percentage of asset based management fees and may receive a discretionary bonuses.

Item 4. Disciplinary History.

Do you or your financial professionals have legal or disciplinary history? Yes. You may visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

CONVERSATION STARTER: Ask your financial professional:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Item 5. Additional Information

For additional information about our services please visit <https://adviserinfo.sec.gov/firm/summary/319493>. You can request up-to-date information and a copy of our relationship summary by contacting us at 631-403-5364 or by email at [cs@tsgalp\[ha\].com](mailto:cs@tsgalp[ha].com).

CONVERSATION STARTER: Ask your financial professional:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**