

Alexander Capital Advisors, LLC- Relationship Summary, December 9, 2024

Alexander Capital Advisors, LLC is registered with the Securities and Exchange Commission (SEC) as an **investment adviser** and not as a broker-dealer. Brokerage and investment advisory services and fees differ. It is important for you to understand these differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides **educational materials** about **broker-dealers**, **investment advisers**, and **investing**.

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| <i>What investment services and advice can you provide me?</i> | <ul style="list-style-type: none">• We offer investment advisory services to retail investors, in the form of discretionary investment management services on a wrap-fee basis. Upon a client request, we may provide limited consultation services to our investment management clients on investment and non-investment related matters.• In a discretionary investment management arrangement, you place assets in a separate account and give us full discretion to invest those assets, taking into consideration your financial objectives and subject to reasonable restrictions you may place on the types of investments to be made.• We also provide investment advisory services to a privately offered investment vehicle, using a different investment strategy from that used for our other client accounts, and we may recommend to clients that they invest in that private fund.• We monitor accounts on an ongoing basis as part of our standard services, including at the time of material deposits or withdrawals.• We require a minimum of \$1,000,000 of assets under management, calculated by household, for investment advisory services, although this may be negotiable under certain circumstances. <p><i>For additional information, please see Items 4, 7 and 13 of our Form ADV Part 2A.</i></p> |
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Example questions you might ask us to learn more:

- *Given my financial situation, should I choose an investment advisory service? Why or why not?"*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

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| <i>What fees will I pay?</i> | <ul style="list-style-type: none">• Our fees are wrap fees that are based on a percentage of assets we manage. The asset-based fees associated with a wrap fee program include most transaction costs and fees to the broker-dealer that has custody of these assets, and therefore are higher than a typical asset-based advisory fee.• Investors in the private fund are indirectly subject to asset-based and performance fees, which are separate from and in addition to the asset-based fees paid directly to us with respect to client accounts.• Our asset-based investment management fees are generally deducted quarterly in advance. The more assets you have in your account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the assets in your account.• The wrap fee does not include interest charges, electronic fund and wire transfer fees, American Depository Receipt agency processing fees, and any charges, taxes or other fees mandated by any federal, state or other applicable law. The wrap fee also does not include internal management fees and fund expenses charged by mutual funds and ETFs. |
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| | <ul style="list-style-type: none"> You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. <p><i>For additional information, please see Item 5 of our Form ADV Part 2A.</i></p> |
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Example questions:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

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| <p>What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?</p> | <ul style="list-style-type: none"> When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. We receive no benefit from investments that we recommend to you, except to the extent that we recommend an investment in our private fund. Since we earn additional fees from our services to the fund, we have an incentive to recommend that you invest in the fund. We require that clients direct us to place all trades through TD Ameritrade Institutional ("TDAI"). In addition to the benefits that we believe clients receive from the arrangement, we also receive certain services and therefore, there is an incentive for us to select TDAI based upon our interests rather than our clients' interests. <p><i>For additional information, please see Item 10 of our Form ADV Part 2A.</i></p> |
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Example questions:

- How might your conflicts of interest affect me, and how will you address them?

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| <p>How do your financial professionals make money?</p> | <ul style="list-style-type: none"> Thomas F. Paolozzi, the firm's sole financial professional and owner, is compensated based on the overall profitability of the firm. As the firm's revenue is derived from asset-based fees, this compensation method presents a conflict of interest; the firm is incentivized to encourage you to increase the assets in your account in order to increase the amount of fees payable to the firm. |
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| <p>Do you or your financial professionals have legal or disciplinary history?</p> | <ul style="list-style-type: none"> No, neither our firm nor our financial professional has any legal or disciplinary history. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professional. <p><i>For additional information, please see Item 9 of our Form ADV Part 2A.</i></p> |
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Example questions:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

For additional information about our services, please see our [Form ADV Part 2A](#). If you would like additional, up-to-date information or a copy of this disclosure, please call (203) 966-7707.

Example questions:

- Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?