

**Part 2B of Form ADV:  
Brochure Supplement  
09/24/2024**

**Item 1.      Cover Page**

**MELISSA ANN WAGNER**

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**DMK Advisor Group, Inc.**  
17961 Huntington Bow Circle  
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Lutz, FL 33558  
(303) 470-5664

This brochure supplement provides clients with information about Melissa Wagner that supplements the DMK Advisor Group, Inc. disclosure brochure. You should have received a copy of that brochure. Please contact Erin Baskett, CCO, at (636) 675-3746 if you did not receive the DMK Advisor Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Melissa Wagner is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE**

MELISSA ANN WAGNER

Born: 1983

### **Education**

University of Northern Colorado- Bachelor's Degree in Business Administration

### **Business Experience**

Registered Representative, DMK Advisor Group, Inc., 2014- Present

Investment Advisor Representative, Aveo Capital Partners, 2014 - Present

Member, Legacy Wealth Partners, 2014- Present

Registered Representative and Investment Advisor, AXA Advisors, LLC 2005-2014 -

### **Professional Licenses/Designations Series 7 – General Securities Representative**

To obtain a Series 7 license, an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options, and government securities.

### **Series 66 - Uniform Combines State Law Exam**

To obtain a Series 66 license, an initial qualifying exam administered by the Financial Industry Regulatory Authority ("FINRA") must be passed to show competence in this area. The Series 7 license must be completed before taking the Series 66.

### **Retirement Income Certified Professional -**

This designation is issued by The American College and is granted to individuals who complete three core and three retirement planning courses and the RICP Certification Examination. 30 hours of continuing education is required every 2 years

## **Item 3. DISCIPLINARY INFORMATION**

I have no material disciplinary events to report.

#### **Item 4. OTHER BUSINESS ACTIVITIES**

##### **Aveo Capital Partners:**

Your advisor is also an Investment Advisor Representative of Aveo Capital Partners. As such, your advisor receives advisory fees for advisory services such as financial planning services and managing assets under Management.

With respect to certain other business activities unrelated to a registered representative or certain insurance agent activities in which your financial advisor may participate, these activities are reviewed and, when appropriate, approved in accordance with industry rules. While the firm may initially review these other business activities, these activities may not be associated or in any way related to activity conducted by Aveo Capital Partners. Therefore, Aveo Capital Partners will not be responsible with respect to any recommendation or determination as to the suitability of your choice to participate in such activities. These other business activities may present certain conflicts of interest that you should be aware of and consider before participating in such activities. Please ask your financial advisor for further information.

##### **Legacy Wealth Partners:**

Your advisor is also a Member and an insurance agent and receives commissions on the sale of insurance products and, in certain instances, payments for the renewal of certain insurance products, in addition to advisory fees for advisory services, such as financial planning services through Legacy Wealth Partners.

These payments vary by insurance product and company and may provide different incentives depending on the amount of the renewal payment. In some instances, sales commissions from life insurance provide a higher deposit rate to registered representatives than investment products. As such, a registered representative may have a financial incentive to promote certain life insurance products over other investment products. While the firm and your financial advisor intend to provide recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Licensed insurance agent selling fixed life and fixed annuity products.

Please note that registered representative and insurance agent activities are reviewed and supervised by Legacy Wealth Partners. This review includes transactions that you may conduct in your accounts based on specific recommendations to purchase products and/or services made by your registered representative.

## **Item 5. ADDITIONAL COMPENSATION**

As discussed previously above, your advisor is a registered representative and may also be an insurance agent. In addition to the receipt of advisory fees, traditional commissions and ongoing 12b-1 fees, DMK Advisor Group, Inc. may pay bonuses based on a registered representative's overall product and/or service sales, including with respect to advisory business, conduct sales incentive contests, or provide marketing payments to its financial advisors to the extent permitted under applicable law. As a result, these arrangements may create a conflict of interest. While DMK Advisor Group, Inc. and your financial advisor intend to provide recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Financial advisors may receive compensation from someone other than a client for providing investment advice or other advisory services to clients by referring clients to other investment advisers. As such, there may be potential conflicts of interest with these arrangements, including situations where the compensation paid to the firm or the financial advisor differs based on the particular third-party adviser. Therefore, financial advisors may have an economic incentive to recommend one third-party adviser over another. Additionally, certain third-party advisers may provide reimbursements to financial advisors as an offset for marketing and seminar materials for the advisory products and services offered. These situations may also create conflicts of interest that you should carefully consider.

## **Item 6. SUPERVISION**

DMK Advisor Group Inc. supervises the investment advisory services provided by its financial advisors through a variety of methods, including a review by a licensed principal prior to a client's enrollment in any investment advisory service or prior to any new account opening. This review is designed to ensure that the products and services offered and recommended to clients are appropriate based on the particular client's situation. Additionally, the Firm conducts periodic ongoing supervision related to its investment advisory services. These efforts, which vary in frequency, include reviews based on a number of different factors, including but not limited to specific account activity and changes in a client's financial situation or investment objectives.

Erin Baskett, CCO, is responsible for supervising MELISSA WAGNER. She can be reached at (636) 675-3746.

## **Item 7. REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

1. Ms. Wagner has never been charged with or found liable in an arbitration claim alleging damages in excess of \$2,500 *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. Ms. Wagner has never been charged with or found liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity; (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

3. Ms. Wagner has never been the subject of a bankruptcy petition.