

**Part 2B of Form ADV:
Brochure Supplement
9/9/2024**

Item 1. Cover Page

Diego Garcia Villa

17961 Hunting Bow Circle Suite 102
Lutz, FL 33558-5374
Phone: 787-503-3195

DMK Advisor Group, Inc.
17961 Hunting Bow Circle Suite 102
Lutz, FL 33558-5374
(813) 996-6100

This brochure supplement provides clients with information about Diego Garcia Villa that supplements the DMK Advisor Group, Inc. disclosure brochure. You should have received a copy of that brochure. Please contact Erin Baskett, CCO, at (636-675-3746) if you did not receive the DMK Advisor Group, Inc.'s brochure or have any questions about the contents of this supplement.

Additional information about Diego Garcia is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Diego Jose Garcia Villa

Born: 1995

Education

Universidad de Puerto Rico, Rio Piedras Campus

Business Experience

Registered Representative, DMK Advisor Group, 2024 - Present

Investment Advisor Representative, DMK Advisor Group, 2024 - Present

Investment Advisor Representative, Osaic FS, 2022 - 2024

Registered Representative, Osaic FS., 200 - 2024

Registered Representative, Securities Management & Research, Inc., 2004 - 2011

Professional Licenses/Designations

Series 7 - The General Securities Representative Qualification Examination (GS) — assesses the competency of an entry-level registered representative to perform their job as a general securities representative. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options, and government securities.

Candidates must pass the [Securities Industry Essentials \(SIE\)](#) and Series 7 exams to obtain the General Securities Representative registration. For more information about the [SIE](#) and Series 7 exams, refer to [FINRA Rule 1210](#) and [FINRA Rule 1220\(b\)\(2\)](#).

Series 66 - Uniform Securities Agent State Law Exam

The Series 66 is a North American Securities Administrators Association (NASAA) exam administered by FINRA. It consists of 100 scored questions and 10 unscored questions.

Candidates have 150 minutes to complete the exam. To pass, candidates must correctly answer at least 73 of the 100 scored questions.

The Series 66 exam does not require a prerequisite; however, the [Series 7](#) exam is a **co-requisite**. The Series 66 exam is available online only for candidates who require a [testing accommodation](#). For additional information about this exam, including the content outline, please visit the exams page on the [NASAA website](#).

Item 3. DISCIPLINARY INFORMATION

I have no material disciplinary events to report.

Item 4. OTHER BUSINESS ACTIVITIES

Your advisor is also a registered representative of DMK Advisor Group, Inc. (DMK) As such, your advisor may recommend the purchase of securities from DMK Advisor Group. If you purchase securities from DMK, your advisor will receive commissions on the sale of investment products and in certain instances receive ongoing 12b-1 fees, in addition to the receipt of advisory fees for advisory services such as financial planning services.

Your advisor is also an insurance agent and receives commissions on the sale of insurance products and in certain instances, payments for the renewal of certain insurance products, in addition to advisory fees for advisory services, such as financial planning services.

These payments vary by insurance product and company and may provide different incentives depending on the amount of the renewal payment. In some instances, sales commissions from life insurance provide a higher deposit rate to registered representatives than investment products. As such, a registered representative may have a financial incentive to promote certain life insurance products over other investment products. While the firm and your financial advisor intend to provide

recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Registered Representative, sale of insurance and investment products: variable life and annuities, mutual funds. Commissions.

Licensed Insurance Agent, sale of fixed life and annuity products. Commissions Please note that registered representative and insurance agent activities are reviewed and supervised by DMK. This review includes transactions that you may conduct in your accounts based on specific recommendations to purchase products and/or services made by your registered representative.

With respect to certain other business activities unrelated to registered representative or certain insurance agent activities in which your financial advisor may participate, these activities are reviewed, and when appropriate approved in accordance with industry rules. While the firm may initially review these other business activities, these activities may not be associated or in any way related to activity conducted by DMK. Therefore, DMK will not be responsible with respect to any recommendation or determination as to the suitability of your choice to participate in such activities. These other business activities may present certain conflicts of interest that you should be aware of and consider before participating in such activities. Please ask your financial advisor for further information.

Item 5. ADDITIONAL COMPENSATION

As discussed previously above, your advisor is a registered representative and may also be an insurance agent. In addition to the receipt of advisory fees, traditional commissions, and ongoing 12b-1 fees, DMK may pay bonuses based on a registered representative's overall product and/or service sales, including with respect to advisory business, conduct sales incentive contests or provide marketing payments to its financial advisors to the extent permitted under applicable law. As a result, these arrangements may create a conflict of interest. While DMK and your financial advisor intend to provide recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Financial advisors may receive compensation from someone other than a client for providing investment advice or other advisory services to clients by referring clients to different investment advisers. As such, there may be potential conflicts of interest with these arrangements, including situations where the compensation paid to the firm or the financial advisor differs based on the particular third-party adviser. Therefore, financial advisors may have an economic incentive to recommend one third-party adviser over another. Additionally, certain third-party advisers may provide reimbursements to financial advisors as an offset for marketing and seminar materials

for the advisory products and services offered. These situations may also create conflicts of interest that you should carefully consider.

Item 6. SUPERVISION

DMK supervises the investment advisory services provided by its financial advisors through a variety of methods, including a review by a licensed principal prior to a client's enrollment in any investment advisory service or prior to any new account opening. This review is designed to ensure that the products and services offered and recommended to clients are appropriate based on the particular client's situation. Additionally, the Firm conducts periodic ongoing supervision related to its investment advisory services. These efforts, which vary in frequency, include reviews based on a number of different factors, including but not limited to specific account activity and changes in a client's financial situation or investment objectives.

The individual responsible for supervising Diego Garcia is Harold Shwartz. He may be reached at (813) 996-6100 #1.

Item 7. REQUIREMENTS FOR STATE-REGISTERED ADVISERS

1. Diego Garcia has never been charged with or found liable in an arbitration claim alleging damages in excess of \$2,500 *involving* any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. Diego Garcia has never been charged with or found liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:
 - (a) an investment or an *investment-related* business or activity; (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
3. Diego Garcia has never been the subject of a bankruptcy petition.