



**Part 2B of Form ADV:
Brochure Supplement
09/06/2024**

1) Cover Page:

Stephen A. Kohn

26 W. Dry Creek Circle, Ave., Suite 600
Littleton, CO 80235
(303-880-4304)

DMK Advisor Group, Inc.

17961 Huntington Bow Circle Suite 102
Lutz, FL 33558
(303) 407-5664

This brochure supplement provides clients with information about Stephen A. Kohn that supplements the DMK Advisor Group, Inc. disclosure brochure. You should have received a copy of that brochure. Please contact Erin Baskett, CCO, at 636-675-3746 if you did not receive the DMK Advisor Group, Inc.'s brochure or have any questions about the contents of this supplement.

Additional information about Stephen Kohn is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Stephen A. Kohn

Born: 1941

Education

B.A. in English and Fine Arts, C.W. Post College of Long Island University, 1964

Business Experience

President and Chief Compliance Officer, DMK Advisor Group, Jan 2020 – Present
Owner, President and Chief Executive Officer, Stephen A. Kohn & Associates,
April 1996 – December 2019

Owner, President, and Chief Executive Officer, Kohn, Hebert & Associates, Ltd.
November 1992 – August 1996

Registered Representative, Larimer Capital, March 1993 – April 1993 Registered
Representative, U.S. Securities Clearing Corp., March 1991 – December 1992

Registered Representative, Whitehouse & Moore Investments, Inc., August 1990 –
February 1991

Registered Representative, L.M. Drew & Associates, August 1990 – September 1990

Registered Representative, Blinder, Robinson & Co., March 1998 – August 1990

Professional Licenses/Designations

General Securities Representative (Series 7), General Securities Principal (Series 24), Municipal Securities Principal (Series 53), Uniform State Securities (Series 63), Government Securities Representative (Series 72), Government Securities Principal (Series 73), Operations Professional (Series 99) and Investment Banker Representative (Series 79).

Series 7: General Securities Representative

To become registered, securities professionals must be associated with a FINRA member and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. An individual must pass the exams before engaging in those areas of the business. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Series 24: General Securities Principal

To become registered as a General Securities Principal, candidates must be associated with a FINRA member and pass a qualifying exam administered by

FINRA to demonstrate their competence in this area. An individual must pass the exam before engaging in this area of business. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Series 53: Municipal Securities Principal

To become registered as a Municipal Securities Professional, candidates must be associated with a FINRA member firm and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Series 63: State Securities Agent

To be registered as a State Securities Agent, candidates must be associated with a FINRA member firm or a state-regulated securities firm. Candidates must pass a qualifying exam administered by FINRA to demonstrate their competence in this area.

Series 72: Government Securities Representative

To become registered as a Government Securities Representative, candidates must be associated with a FINRA member firm and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Series 73: Government Securities Principal

To become registered as an Operations Professional and limited Representative, candidates must be associated with a FINRA member firm and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. In addition, candidates must also submit a Form U4, including background information and employment history, which is assessed as part of the registration process. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Series 79: Investment Banker Representative

To become registered as an Investment Banker Representative, candidates must be associated with a FINRA member firm and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm

Element Training, and taking a computer-based Regulatory Element Annually.

Series 99: Operations Professional

To become registered as an Investment Operations Professional, candidates must be associated with a FINRA member firm and pass a qualifying exam administered by FINRA to demonstrate their competence in this area. Continuing Education requirements include attendance at Annual Compliance Meetings, Annual Firm Element Training, and taking a computer-based Regulatory Element Annually.

Item 3. DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that may affect your decision to do business with Stephen Kohn. Stephen Kohn does not currently have any disclosures that apply to this requirement.

Item 4. OTHER BUSINESS ACTIVITIES

Except for being a FINRA industry arbitrator, Mr. Kohn has no other business activities.

Item 5. ADDITIONAL COMPENSATION

In addition to the receipt of advisory fees, traditional commissions, and ongoing 12b-1 fees, DMK may pay bonuses based on a registered representative's overall product and service sales, including concerning advisory business, conduct sales incentive contests, or provide marketing payments to its financial advisors to the extent permitted under applicable law. As a result, these arrangements may create a conflict of interest. While DMK and your financial advisor intend to provide recommendations of products and services they believe are suitable for you, you should carefully evaluate each product or service recommendation based on your own financial situation and investment objectives.

Financial advisors may receive compensation from someone other than a client for providing investment advice or other advisory services by referring clients to other investment advisers. As such, there may be potential conflicts of interest with these arrangements, including situations where the compensation paid to the firm or the financial advisor differs based on the particular third-party adviser. Therefore, financial advisors may have an economic incentive to recommend one third-party adviser over another. Additionally, certain third-party advisers may reimburse financial advisors as an offset for marketing and seminar materials for the advisory products and services offered. These situations may also create conflicts of interest that you should carefully consider.

Item 6. SUPERVISION

DMK supervises the investment advisory services provided by its financial advisors through various methods, including a review by a licensed principal before a client's enrollment in any investment advisory service or before any new account opening. This review is designed to ensure that the products and services offered and recommended to clients are appropriate based on the particular client's situation. Additionally, the Firm conducts periodic ongoing supervision related to its investment advisory services. These efforts, which vary in frequency, include reviews based on several different factors, including but not limited to specific account activity and changes in a client's financial situation or investment objectives. Erin Baskett is responsible for supervising Stephen Kohn. She can be reached at (636-675-3746).

7. REQUIREMENTS FOR STATE-REGISTERED ADVISERS

1. Mr. Kohn has never been charged with or found liable in an arbitration claim alleging damages in excess of \$2,500 *involving* any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.

2. Mr. Kohn has never been charged with or found liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:
 - (a) an investment or an *investment-related* business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property.
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.Mr. Kohn has never been the subject of bankruptcy or criminal proceedings.