

## **Brochure Supplement**

October 15, 2024

**James T. Borello & Co.**

CRD No. 16860

**Chad D. Anderson**

**Investment Advisor Representative**

Individual CRD No. 7609911

151 Dundee Avenue  
East Dundee, Illinois 60118

phone: 847-426-0200  
email: [chad@borellos.com](mailto:chad@borellos.com)  
website: [www.jamestborello.com](http://www.jamestborello.com)

This brochure supplement provides information about Chad D. Anderson that supplements the James T. Borello & Co. brochure. You should have received a copy of that brochure. If you did not receive a brochure or if you have any questions about the contents of this supplement, please contact us at 847-426-0200 or email [contact@borellos.com](mailto:contact@borellos.com).

Additional information about Chad D. Anderson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background and Business Experience

Chad D. Anderson (b. 1993) is an Investment Advisor Representative of James T. Borello & Co.

### A. Educational Background

B.S., Purdue University	2015
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### B. Business Background

Enrolled Agent	2023-Present
Investment Advisor Representative, James T. Borello & Co.	2022–Present
Licensed Insurance Agent, State Farm	2022-Present
Miner/Laborer, Wash Construction	2020-2022
Service Manager, Home Gas Corporation	2018-2022
NFL Mascot, Miami Dolphins	2016-2020
Mascot, Purdue Athletics	2013-2015

### C. Professional Designations – Qualifications and Related Criteria

#### Enrolled Agent (EA)

An Enrolled Agent has earned the right to represent taxpayers before the Internal Revenue Service worldwide.

Enrolled Agents, like attorneys and certified public accountants (CPAs), may represent all taxpayers, from individuals with simple tax returns to Fortune 100 tax returns. Enrolled Agents face no restrictions on the types of tax matters they can handle and the IRS offices before which they can practice.

Enrolled Agents are licensed by the Department of Treasury and can represent taxpayers throughout the United States and overseas—wherever the IRS has an outpost. Most state and local governments recognize the Enrolled Agent designation and grant representation powers to Enrolled Agents. Enrolled Agents may practice in any state without re-certifying, making this the most portable of all the tax licenses governed by IRS Circular 230.

**Required Curriculum** Candidates who wish to become an Enrolled Agent must meet the following qualifying criteria:

- Apply for enrollment using IRS Form 2587.
- Pass a background check to ensure that they have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or Enrolled Agent from practice before the IRS.
- Follow one of these two tracks:
  - Online Examination: Candidates must achieve passing scores on each of a three-part online Special Enrollment Examination (SEE).

IRS Experience: Candidates can become an enrolled agent by virtue of past service and technical experience working for the Internal Revenue Service in certain jobs or positions. Generally, there is a five-year experience requirement in positions that require certain levels of technical experience.

### **Item 3: Disciplinary Information**

Chad D. Anderson does not have any disciplinary action to report. Public information concerning his registration as an investment advisor representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4: Other Business Activities**

#### **Registered Representative**

Chad D. Anderson is a registered representative of JTB, which is dually licensed as a broker-dealer and investment adviser. JTB professionals who effect transactions for advisory clients may receive transaction or commission compensation. The recommendation of securities transactions for commission creates a conflict of interest in that JTB is economically incented to effect securities transactions for clients. Although JTB strives to put its clients' interests first, such recommendations may be viewed as being in the best interests of JTB rather than in the client's best interest. JTB advisory clients are not compelled to effect securities transactions on a commission basis. All commissions will be disclosed to clients in advance.

#### **Insurance Sales**

Chad D. Anderson is a licensed insurance agent. With respect to the provision of financial planning services, he may recommend insurance products offered by such carriers for whom he functions as an agent and receive a commission for doing so. Please be advised there is a potential conflict of interest in that there is an economic incentive to recommend insurance and other investment products of such carriers. Please also be advised that JTB strives to put its clients' interests first and foremost. Other than for insurance products that require a securities license, such as variable insurance products, clients may utilize any insurance carrier or insurance agency they desire. For products requiring a securities and insurance license, clients may be limited to those insurance carriers that have a selling agreement with JTB's employing broker-dealer. All commissions will be disclosed to clients in advance.

#### **Tax Preparation Services**

Chad D. Anderson is an Enrolled Agent and trained to provide tax preparation services. Clients of JTB are not solicited for this service, however, should clients engage JTB professionals for tax preparation services they need to be aware that JTB professionals will be compensated for this service. The receipt of compensation by JTB professionals creates a conflict of interest. To mitigate this conflict, all fees associated with the tax preparation services will be disclosed to clients in advance.

### **Item 5: Additional Compensation**

Other than what has been described in this Brochure, Chad D. Anderson does not receive any additional compensation.

### **Item 6: Supervision**

Supervision of Chad D. Anderson is performed by Daniel J. Borello, Vice President, through reviews of internal transaction and security holdings reports, electronic and physical correspondence, and other internal reports as mandated by the firm and its regulatory authorities. Daniel J. Borello can be reached at 847-426-0200.