

This brochure supplement provides information about Mawuena Takyi that supplements the Asempa, LLC brochure. You should have received a copy of that brochure. Please contact Mawuena Takyi if you did not receive Asempa, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mawuena Takyi is also available on the SEC's website at www.adviserinfo.sec.gov.

Asempa, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Mawuena Takyi

Personal CRD Number: 4700362
Investment Adviser Representative

Asempa, LLC
8440 Jefferson Highway
Baton Rouge, LA 70809
(202) 330-2931
mawe.takyi@asempawealth.com

UPDATED: 10/10/2023

Item 2: Educational Background and Business Experience

Name: Mawuena Takyi **Born:** 1980

Educational Background and Professional Designations:

Education:

Bachelors Economics, Louisiana State University - 2004

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

09/2023 - Present	Managing Partner Asempa, LLC
08/2004 – 10/2023	Financial Advisor Dent Wealth Advisors

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Mawuena Takyi is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Mawuena Takyi does not receive any economic benefit from any person, company, or organization, other than Asempa, LLC in exchange for providing clients advisory services through Asempa, LLC.

Item 6: Supervision

As a representative of Asempa, LLC, Mawuena Takyi is supervised by Zaheer Poptani, the firm's Chief Compliance Officer. Zaheer Poptani is responsible for ensuring that Mawuena Takyi adheres to all required regulations regarding the activities of an Investment Adviser

Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Zaheer Poptani is (225) 205-0268.

This brochure supplement provides information about Zaheer Poptani that supplements the Asempa, LLC brochure. You should have received a copy of that brochure. Please contact Zaheer Poptani if you did not receive Asempa, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Zaheer Poptani is also available on the SEC's website at www.adviserinfo.sec.gov.

Asempa, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Zaheer Poptani

Personal CRD Number: 4325262
Investment Adviser Representative

Asempa, LLC
8440 Jefferson Highway
Baton Rouge, LA 70809
(225) 205-0268
zaheer@asempawealth.com

UPDATED: 10/10/2023

Item 2: Educational Background and Business Experience

Name: Zaheer Poptani **Born:** 1977

Educational Background and Professional Designations:

Education:

Masters Business Administration, Louisiana State University - 2002
Masters Political Science, Louisiana State University - 2000
Bachelor's Law, University Of Exeter - 1998

Business Background:

09/2023 - Present	Chief Compliance Officer Asempa, LLC
05/2002 – 10/2023	Advisor/Chief Compliance Officer Asempa Wealth Advisors

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Zaheer Poptani is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Zaheer Poptani does not receive any economic benefit from any person, company, or organization, other than Asempa, LLC in exchange for providing clients advisory services through Asempa, LLC.

Item 6: Supervision

As the Chief Compliance Officer of Asempa, LLC, Zaheer Poptani supervises all activities of the firm. Zaheer Poptani's contact information is on the cover page of this disclosure document. Zaheer Poptani adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.