

ADV PART 2B: BROCHURE SUPPLEMENT

Drew Faloon



Melbourne Beach, Florida

<https://www.intrepidwealthpartners.com/>

January 12, 2024

This brochure supplement provides information about Drew Faloon (CRD# 6909222) that supplements the Intrepid Wealth Partners, LLC. brochure. You should have received a copy of that brochure. Please contact [Derek Notman, 855-497-8737 and drew@intrepidwealthpartners.com] if you did not receive Intrepid Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about [name of supervised person] is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational, Background and Business Experience

Full Legal Name: Drew Faloon

Date of Birth Year: 1996

Education: BA, Finance – Fort Lewis College, CO

Business Experience:

- Intrepid Wealth Partners, Investment Advisor Representative, 08/2023 to present
- Pioneer Horizons Financial, LLC., Associate, 01/2023 to present
- Eagle Strategies, Investment Advisor Representative, 03/2023 to 08/2023
- NY Life Securities, Registered Representative, 06/2018 to 08/2023
- New York Life Insurance Company, Agent, 03/2006 to 08/2023
- Open, 12/2017 to 05/2018
- Fort Lewis College, Facility Supervisor, 09/2015 to 12/2017
- Fort Lewis College, Student, 09/2015 to 12/2017
- Ent Credit Union, Intern, 05/2016 to 08/2017
- Mountain Capital Partners, Intern, 10/2016 to 04/2017
- Open, 01/2008 to 09/2015

Professional Designations:

Certified Financial Planner – CFP

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. More than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance

planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

FINRA Examinations:

- FINRA – Series 6, 7, 63
- FINRA - SIE

Item 3 Disciplinary History

N/A

Item 4 Other Business Activities

A. Investment Related Activities: N/A

B. Non-Investment-Related Activities: N/A

Item 5 Additional Compensation

Mr. Faloon receives compensation in relation to his other business activities as listed in Item. 4.

Item 6 Supervision

Mr. Faloon is supervised by the firm's principal, Derek Notman. Derek Notman is responsible for all supervision, formulation and monitoring of investment advice offered to clients. Derek Notman, 855-497-8737

Derek Notman reviews and oversees all material investment policy changes and conducts periodic reviews to determine whether client objectives and mandates are met.

Item 7 - Requirements for State-Registered Advisers

Derek Notman has never been the subject of a bankruptcy petition nor has he ever been involved in any of the following events:

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices.