

ADV PART 2B: BROCHURE SUPPLEMENT

Derek Notman



Melbourne Beach, Florida

<https://www.intrepidwealthpartners.com/>

January 12, 2024

This brochure supplement provides information about Derek Notman (CRD# 5105881) that supplements the Intrepid Wealth Partners, LLC. brochure. You should have received a copy of that brochure. Please contact [Derek Notman, 855-497-8737 and derek@intrepidwealthpartners.com] if you did not receive [name of advisory firm]'s brochure or if you have any questions about the contents of this supplement.

Additional information about [name of supervised person] is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational, Background and Business Experience

Full Legal Name: Derek Nicholas Hayo Notman

Date of Birth Year: 1980

Education:

- University of Minnesota, Duluth. Bachelor of Arts, 1998-2002

Business Experience:

- Intrepid Wealth Partners, CEO/Managing Member, 09/2021 to present
- Eagle Strategies, Investment Advisor Representative, 12/2010 to 1/2022
- NY Life Securities, Registered Representative, 5/2006 to 1/2022
- New York Life Insurance Company, Agent, 03/3006 to 1/2022

Professional Designations:

Certified Financial Planner – CFP

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. More than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

FINRA Examinations:

- FINRA – Series 6, 7, 63
- FINRA - SIE

Item 3 Disciplinary History

N/A

Item 4 Other Business Activities

A. Investment Related Activities: N/A

B. Non-Investment-Related Activities: Mr Notman is a principal of Connector, an on-demand online learning, tactical *how-to*’s, plug-n-play templates, and community for financial advisors. Learn how to effectively build and exponentially grow your successful advisory practice while realizing an amazing life-work balance.

Mr. Notman is also the principal of Couplr, a SaaS technology company serving consumers & advisors as an online matchmaking service.

Mr. Notman spends approximately 15 hours a week on these activities.

Mr. Notman also is the president of Intrepid Charitable Partners, a 501c3 charity that supports youth in entrepreneurship.

Item 5 Additional Compensation

Mr. Notman receives compensation in relation to his other business activities as listed in Item. 4.

Item 6 Supervision

Derek Notman is responsible for all supervision, formulation and monitoring of investment advice offered to clients. Derek Notman, 855-497-8737

Derek Notman reviews and oversees all material investment policy changes and conducts periodic reviews to determine whether client objectives and mandates are met.

Item 7 Requirements for State-Registered Advisers

Derek Notman has never been the subject of a bankruptcy petition nor has he ever been involved in any of the following events:

An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- an investment or an investment-related business or activity;
- fraud, false statement(s), or omissions;
- theft, embezzlement, or other wrongful taking of property;
- bribery, forgery, counterfeiting, or extortion; or
- dishonest, unfair, or unethical practices.