

Part 2B of Form ADV: *Brochure Supplement*

Kelsey Financial, LLC

Annette Gallo

Executive Assistant, Solicitor

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This brochure supplement provides information about Annette Gallo that supplements the Kelsey Financial Disclosure Brochure. You should have received a copy of that brochure.

Please contact Kelsey Financial if you did not receive the Kelsey Financial's firm disclosure brochure or if you have any questions about the contents of this supplement.

Additional information about Annette Gallo is available on the SEC's website at www.adviserinfo.sec.gov and/or FINRA Broker Check website at www.brokercheck.finra.org/. You can search these sites by a unique identifying number, known as a CRD number. Ms. Gallo's CRD # is 6312251.

Item 2 Educational, Background and Business Experience

Full Legal Name: Annette Gallo

Born: 1957

Education:

- Simi Valley Adult School of Cosmetology, License in Cosmetology, 1982;
- UCLA, B.A. in Theater Arts / Ethnic Arts, 1980

Business Experience:

- Kelsey Financial, LLC; Executive Assistant, Solicitor; 03/2014 to Present
- Self-Employed, Hair Stylist; 01/2003 to Present
- Western International Securities; Administrative Assistant; 08/2017 to 12/2017
- Financial West Group; Administrative Assistant; 03/2014 to 08/2017

Examinations:

- N/A, Exempt California Solicitor

Item 3 Disciplinary Information

We are required to disclose all material facts regarding certain legal or disciplinary events pertaining to arbitration awards or other civil, regulatory or administrative proceedings in which our firm or management personnel were found liable or against whom an award was granted.

The following are disciplinary events relating to our firm and/or our management personnel:

No material events to disclose.

Item 4 Other Business Activities

Broker/Dealer Registrations:

Ms. Gallo is not separately associated with any FINRA Member broker/dealer and SEC registered investment advisor. Ms. Gallo does not receive separate compensation, bonuses or other incentives with respect to investments.

Our firm mitigates any conflicts of interest by disclosing them to our clients.

Ms. Gallo has a non-investment-related business activity with respect to the work she performs as a hair stylist.

Item 5 Additional Compensation

Ms. Gallo does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: Steve Hoppel

Title: Chief Compliance Officer

Phone Number: (833) 4 KELSEY

As Chief Compliance Officer of Kelsey Financial, Mr. Hoppel is responsible for all supervision, reviews and oversees all material investment policy changes and conducts periodic testing to ensure that client objectives and mandates are met.