

*This brochure supplement provides information about David William Kornberg that supplements the Attessa Capital LLC brochure. You should have received a copy of that brochure. Please contact David William Kornberg if you did not receive Attessa Capital LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about David William Kornberg is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Attessa Capital LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **David William Kornberg**

Personal CRD Number: 6073672

Investment Adviser Representative

Attessa Capital LLC

3060 N Swan Rd

Tucson, AZ 85712

(520) 600-3064

(520) 429-9754

[dkornberg@attessacapital.com](mailto:dkornberg@attessacapital.com)

UPDATED: 01/03/2024

## Item 2: Educational Background and Business Experience

**Name:** David William Kornberg **Born:** 1991

### Educational Background and Professional Designations:

#### Education:

BS Finance and Accounting, University of Arizona - 2014

#### Business Background:

01/2020 - Present	Partner & Chief Compliance Officer Attesa Capital LLC (formerly BGK Capital LLC)
06/2016 - 01/2020	Director of Alternative Investments Sterling Investment Management Inc
07/2014 - 05/2016	Senior Associate PricewaterhouseCoopers
06/2006 - 06/2014	Student

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

David William Kornberg is an accountant and from time to time, may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Attesa Capital always acts in the best interest of the client and clients are in no way required to utilize the services of any representative of Attesa Capital in connection with such individual's activities outside of Attesa Capital.

David William Kornberg is Treasurer & Member of the Board of Directors for Archaeology Southwest, a nonprofit organization headquartered in Tucson, Arizona. Mr. Kornberg advises

and help the accounting department. As a member of the Board of Directors, Mr. Kornberg helps the organization with strategic planning. Mr. Kornberg devotes 4 hours per month to this activity.

### **Item 5: Additional Compensation**

David William Kornberg does not receive any economic benefit from any person, company, or organization, other than Attessa Capital LLC in exchange for providing clients advisory services through Attessa Capital LLC.

### **Item 6: Supervision**

As the Chief Compliance Officer of Attessa Capital LLC, David William Kornberg supervises all activities of the firm. David William Kornberg's contact information is on the cover page of this disclosure document. David William Kornberg adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. The phone number for David Kornberg is (520) 429-9754.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. David William Kornberg has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. David William Kornberg has NOT been the subject of a bankruptcy.